

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF SOUTH CAROLINA

JAMES WILLSON CHESHER  
and CHERYL ANN CHESHER

Plaintiffs,

v.

3M COMPANY, et al.,

Defendants.

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CIVIL ACTION NO. 3:15-cv-02123-DCN

**PLAINTIFFS' MOTION FOR VACATUR PURSUANT TO  
FED. R. CIV. PROC. 60 (b) (6) AND 28 U.S.C. § 455**

Come now plaintiffs and file this motion for vacatur pursuant to Fed. R. Civ. Proc. 60 (b)(6) and 28 U.S.C. § 455, and would respectfully show as follows:

**BACKGROUND**

**A. Procedural History**

This case concerns claims for damages arising from Mr. Chesher's exposure to asbestos while serving in the Navy. It was originally filed in state court, and removed to this Court on May 22, 2015. Plaintiffs originally filed a motion for remand, but withdrew that motion on July 26, 2015 (Doc. 95). The Court entered an agreed scheduling order soon thereafter (Doc. 101).

The parties then began discovery and motion practice. A central issue in the case was causation – the question of whether exposure to a particular defendant's asbestos-containing product helped cause the development of Mr. Chesher's mesothelioma. Both plaintiffs and defendants designated experts to opine on this outcome-determinative issue.

Certain defendants filed a motion to exclude the testimony of plaintiffs' experts (Doc. 181). This motion was extensively briefed, and the Court held an evidentiary hearing February 28, 2017.

In an order dated March 31, 2017, the Court excluded the testimony of plaintiffs' expert Dr. Carlos Bedrossian (Doc. 308). Nearly four months later, the Court explained its reasons in a supplemental order (Doc. 312). Meanwhile, Mr. Chesher died of mesothelioma on April 20, 2017.

Shortly thereafter, defendant Crane Co. filed a renewed motion for summary judgment (Doc. 313). This motion contended that "[f]ollowing the Court's July 21, 2017 Order granting Crane Co.'s Daubert Motion ..., Plaintiffs have no expert to testify regarding specific causation; the Order precludes plaintiffs from offering Dr. Bedrossian to provide expert testimony regarding specific causation at trial, and plaintiffs stipulated that their other two experts will only testify regarding general causation." (Doc. 313 at 2-3). Plaintiffs filed a motion for reconsideration of the Court's Order excluding Bedrossian (Doc. 314). This motion, and the motion for summary judgment, were heard by the Court on December 12, 2017, and denied at that time. The Court then granted Crane's motion for summary judgment on causation grounds (Doc. 323).

#### **B. Plaintiffs' Knowledge Concerning Judge Norton's Ownership Interest in Multiple Defendants**

On or about July 22, 2021, plaintiffs' counsel was contacted by a reporter from the Wall Street Journal. Through e-mail exchanges and telephone conversations, counsel eventually learned that Judge Norton owned stock in at least two of the defendants in this case. See affidavit of Peter A. Kraus, attached as exhibit A.

As set forth in the affidavit of Cory Powell, attached as exhibit B, as of 2014, the year before this case was filed, Judge Norton owned stock in three of the defendants in this case: General Electric Co., 3M Co., and Verizon. In the years 2015-2019, Judge Norton continued to

own these stocks, in amounts that varied. In various years the holdings were worth less than \$15,000; between \$15,000 and \$50,000.

For the reasons detailed below, Judge Norton had a mandatory duty to recuse himself from this case, but he did not do so. Plaintiffs therefore request that the judgment in Crane Co.’s favor be vacated and the case reopened. This request is not made lightly and is made with the utmost respect for the Court.

### **ARGUMENT**

28 U.S.C. §455(b) imposes a mandatory duty upon a judge to disqualify himself when “[he] knows that he, individually or as a fiduciary ... has a financial interest in the subject matter in controversy or in a party to the proceeding ....” A “financial interest” is defined as “ownership of a legal or equitable interest, however small ....” §455(d)(4). “[I]t is well-established that the ownership of stock constitutes a ‘financial interest’ for purposes of §455(b)(4).” *Central Telephone Co. of Va. v. Sprint Comms. Co. of Va.*, 715 F.3d 501, 515 (4<sup>th</sup> Cir. 2013), quoting *Shell Oil Co. v. United States*, 672 F.3d 1283, 1289 (Fed. Cir. 2012). There is an exception for stock held in a mutual fund, see §455(d)(4)(i), but as Judge Norton’s 2012 disclosure report demonstrates, that exception does not apply here as he held the stocks directly.

Fed. R. Civ Proc. 60(b) provides grounds upon which this Court “may relieve [plaintiffs] from [the] final judgment” rendered in favor of Crane Co. in this case. Plaintiffs respectfully ask the Court to relieve plaintiffs from that adverse judgment, and reopen the case, pursuant to Rule 60(b)(6), which affords such a remedy for “any other reason that justifies relief.” This rule is an appropriate basis for relief in a case in which the judge, who rendered the adverse judgment, should have recused himself. *Liljeberg v. Health Serv. Acquisition Corp.*, 486 U.S. 847, 863-64 (1988). Rule 60(b)(6) “has been described as a ‘grand reservoir of equitable power to do justice in a

particular case.” *National Credit Union Admin. Bd. v. Gray*, 1 F.3d 262, 266 (4<sup>th</sup> Cir. 1993), quoting 7 *Moore’s Fed. Prac.* §60.27 [1], p. 60-266 (1993).

A motion under Rule 60(b)(6) “must be made within a reasonable time ....” See Rule 60(c)(1). The Supreme Court set forth the standard for “determining” whether a judgment should be vacated for a violation of §455(a) as follows:

“[I]t is appropriate to consider the risk of injustice to the parties in the particular case, the risk that the denial of relief will produce injustice in other cases, and the risk of undermining the public's confidence in the judicial process. We must continuously bear in mind that “to perform its high function in the best way ‘justice must satisfy the appearance of justice.’” *In re Murchison*, 349 U.S. 133, 136, 75 S.Ct. 623, 625, 99 L.Ed. 942 (1955) (citation omitted).

*Liljeberg*, supra, 486 U.S. at 864.

Reopening this case, and vacating the judgment, is required under this framework. The Supreme Court established beyond doubt in *Liljeberg* that mandatory recusal under §455(b) is the type of “extraordinary” basis for relief contemplated under Rule 60(b)(6). See 486 U.S. at 863 n. 11. Moreover, the court stressed that “§455(b)(4) requires disqualification no matter how insubstantial the financial interest and regardless of whether or not the interest actually creates an appearance of impropriety.” *Id.* at 859 n. 8.

In *Liljeberg*, the Supreme Court held that vacatur of the judgment was appropriate, even through the judge did not know of his disqualifying interest in the litigation:

These facts create precisely the kind of appearance of impropriety that §455(a) was intended to prevent. The violation is neither insubstantial nor excusable. Although Judge Collins did not know of his fiduciary interest in the litigation, he certainly should have known. In fact, his failure to stay informed of this fiduciary interest may well constitute a separate violation of §455. See §455(c). Moreover, providing relief in cases such as this will not produce injustice in other cases; to the contrary, the Court of Appeals' willingness to enforce §455 may prevent a substantive injustice in some future case by encouraging a judge or litigant to more carefully examine possible grounds for disqualification and to promptly disclose them when discovered. It is therefore appropriate to vacate the judgment unless it can be said that respondent did not make a timely request for relief, or that it would otherwise be unfair to deprive the prevailing party of its judgment.



Id. at 867-68. This holding disposes of the present motion. If anything, recusal is even more plainly warranted here, because Judge Norton was aware of his holdings, and therefore of his disqualifying interest.

The rule requiring recusal, in the event of a judge's financial interest in litigation, is absolute, and does not turn on a showing of actual bias, or of prejudice to the opposing party. As the authors of the most respected treatise on federal procedure put it, "[t]he important point about these provisions is that they eliminate any dispute about the substantiality of a financial interest. If a judge ... has *any* financial interest, as that term is defined, however small, in a party or in the subject matter in controversy, the judge must recuse. *There is no room for discretion.*" 13 D Charles A. Wright, Arthur Miller, Earl Cooper and Richard Freer, *Federal Prac. & Proc.* §3546, pp. 75-77 (first emphasis in original, second added; footnotes omitted). Even if, however, it were necessary to show harm to the opposing litigant, such harm exists here.

The most immediate harm, of course, is that the Court wrote a lengthy opinion striking plaintiffs' expert, and then granted summary judgment, dismissing plaintiffs' claims against the remaining defendants. That ruling deprived plaintiffs of any chance of recovering compensation from Crane Co.

The order striking plaintiffs' expert witness was an unusual one in asbestos litigation, and received immediate criticism for being well outside the mainstream of causation rulings in asbestos cases. See Note, *Haskins v. 3M Co.: District of South Carolina Holds the Every Exposure Theory Insufficient to Demonstrate Specific Causation Even if Legal Conclusions Are Scientifically Sound*, 131 Harv. L. Rev. 658 (2017)<sup>1</sup>. As this note pointed out, "[t]he court applied the substantial factor

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<sup>1</sup> *Haskins* was the name of a companion case the Court heard at the same time. The law review note may be viewed at [www.harvardlawreview.org/2017/12/haskins-v-3m-co/](http://www.harvardlawreview.org/2017/12/haskins-v-3m-co/), last viewed on Oct. 29, 2021.

test in name only and effectively employed a traditional but-for causation test instead. Although Judge Norton made suggestions for what would be considered sufficient evidence, he ultimately demanded evidence that may not be scientifically feasible to obtain.” *Id.* at 658-59. The authors concluded as follows:

*Judge Norton’s rejection of Bedrossian’s expert opinions and simultaneous acceptance of their scientific premises is unusual.* Multiple surveys have found that the primary distinction between the courts that reject the every exposure theory as evidence of specific causation and those that accept it is whether they question the scientific underpinnings of the expert opinion....Judge Norton, on the other hand, did not question the studies, the science of the threshold, the literature, or the qualifications of the experts. Instead, the court argued that Bedrossian’s science could not *logically* demonstrate specific causation. The court explicitly considered a scenario where the plaintiff could prove that a particular defendant’s marginal exposure *could* independently cause mesothelioma and ultimately rejected that evidence as well, because, even if the evidence were sound, to rely on it would render the substantial factor requirement meaningless.. That is, the court assumed the law ought to take precedence over scientific fact....

In deciding this way, the court expanded the gap between the scientific realities of mesothelioma and the legal standard an asbestos plaintiff must meet. Judge Norton noted the scientific premises of the expert’s opinions were “uncontroversial” and scientifically reliable, but he ultimately rejected them....

This is the equivalent of requiring a plaintiff in a traditional tort case to calculate the risk of experiencing the same multiple-decades-old injury at the hands of several potential defendants. The *Haskins* interpretation of “substantial factor” merely rehashed the traditional tort standard and added potentially insurmountable hurdles in a field where the courts have consistently determined that those standards are inappropriate....While the court’s evidentiary requirement seems simple, the opinion is devoid of any evidence demonstrating its scientific feasibility or any reference to previous cases where plaintiffs met this standard....To ask for kinds of evidence the court has never seen demands “more from experts than they can give.”...

*Judge Norton’s stringent interpretation of the substantial factor test drastically reduces plaintiffs’ possibilities of success.*

*Id.* at 662-65 (first and last emphases added; others in original).

Judge Norton’s ruling thus greatly benefitted all defendants in asbestos litigation, including the defendants in which he owned and still owns stock. For example, it was cited by the Ohio

Supreme Court as support for reversing a verdict in favor of a mesothelioma victim. *Schwartz v. Honeywell Int’l, Inc.*, 102 N.E. 3d 477, 482 (Ohio 2018). In any event, again, the recusal rule is mandatory and does not depend on a showing of bias or prejudice.

The reasons given by the Supreme Court for why vacatur might not be warranted in a particular case do not apply here. There can be no question about the timeliness of plaintiffs’ request: plaintiffs only first learned of Judge Norton’s stock ownership a little over three months ago, and acted promptly in filing this motion. Cf., e.g., *Kolon Ind. Inc. v. E.I. DuPont de Nemours and Co.*, 748 F.3d 160, 171 (4<sup>th</sup> Cir. 2014) (recusal motion untimely where movant “knew every fact that eventually predicated its recusal motion almost a year before it first suggested recusal might be appropriate ... and over a year before it finally filed its first recusal motion....”).

Finally, it is not unfair to deprive the prevailing party here, Crane Co., of its judgment. As a general matter, whether the prevailing party will be prejudiced “is of lesser importance” in a Rule 60(b)(6) analysis. *Nat’l Credit Union*, supra, 1 F.3d at 265. “Additional legal costs, loss of leverage in settlement discussions, and the protraction of the legal proceedings, while prejudicial, are not typically considered unfair prejudice under the Rule 60(b) analysis.” *Orlando Residence, Ltd. v. Hilton Head Hotel Investors*, 2013 WL 1103027, \* 12 (D.S.C. Mar. 15, 2013) (Norton, J.), citing *Werner v. Carbo*, 731 F.2d 204, 207 (4<sup>th</sup> Cir. 1984).

### **CONCLUSION**

Wherefore, premises considered, plaintiffs respectfully pray that their motion for vacatur be granted, and that this case be reopened and the summary judgment in defendant Crane Co.’s favor be vacated.

Respectfully submitted,

**THE HARDEE LAW FIRM**

/s/ Mark W. Hardee  
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Texas Bar No. 18341875  
*Admitted Pro Hac Vice*  
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Dallas, Texas 75219  
Phone: (214) 357-6244  
[siegel@waterskraus.com](mailto:siegel@waterskraus.com)  
ATTORNEYS FOR PLAINTIFFS

**CERTIFICATE OF SERVICE**

I hereby certify that on November 2, 2021, the foregoing instrument has been served electronically to all counsel of record in this case.

/s/ Mark W. Hardee  
Mar. W. Hardee

# **EXHIBIT A**

STATE OF TEXAS

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DALLAS COUNTY

**AFFIDAVIT OF PETER A. KRAUS**

Before me, the undersigned notary, on this day personally appeared Peter A. Kraus, the affiant, a person who identity is known to me. After I administered an oath, affiant testified as follows:

1. My name is Peter A. Kraus. I am competent to make this affidavit. The facts stated in this affidavit are within my personal knowledge and are true and correct.
2. I am a Partner of Waters & Kraus, LLP.
3. On or about July 22, 2021, I was contacted by a Wall Street Journal reporter named James Grimaldi who informed me that he was investigating Judge Norton's ruling dismissing the Chesher case. He advised me that, at the time the court presided over the case, Judge Norton owned substantial holdings of stock in two defendants in the case- 3M and General Electric. He asked if I was aware of this and I was not. He further advised me of a Harvard Law Review article critical of the judge's ruling, of which I was also unaware.
4. This was the first time we learned that Judge Norton may have had a conflict requiring recusal in the Chesher case.

Further affiant sayeth not.

Peter A. Kraus

SWORN TO and subscribed in my presence by Affiant this 1<sup>st</sup> day of November, 2021, in Dallas County, Texas.



Notary Public for the State of Texas

My Commission Expires: 9/25/22

# **EXHIBIT B**

STATE OF TEXAS

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DALLAS COUNTY

**AFFIDAVIT OF CORY POWELL**

Before me, the undersigned notary, on this day personally appeared Cory Powell, the affiant, a person who identity is known to me. After I administered an oath, affiant testified as follows:

1. My name is Cory Powell. I am competent to make this affidavit. The facts stated in this affidavit are within my personal knowledge and are true and correct.
2. I am a third-year law student employed as a law clerk at Waters & Kraus.
3. On July 23, 2021, I was tasked to follow up on a report from a media source that Judge David C. Norton owned stock in certain of the defendants to a case, and should have recused himself. I reviewed the Guide to Judiciary Policy, Vol. 2D, Ch. 5 § 550.10 and determined that the Financial Disclosure Office only maintains the last six years of reports. The relevant timeframe of the case in question is 2015 which is within the six-year window.
4. On July 23, 2021, I submitted a request to the Financial Disclosure Office, Administrative Office of the United States Courts, for Judge David C. Norton's financial disclosure reports for the years 2015-2021. The request was submitted using Form AO 10A and was emailed to [CommitteonFinancialDisclosure@ao.uscourts.gov](mailto:CommitteonFinancialDisclosure@ao.uscourts.gov).
5. On July 23, 2021, I located financial disclosure reports for Judge Norton on the website "Judicial Watch" (<https://www.judicialwatch.org/?s=NORTON>). I downloaded the reports for the years 2003, 2008, 2009, 2010, and 2011. The website does not contain, nor does any other website I could find, any financial disclosure reports for Judge Norton more recent than 2011.
6. For the years 2003, 2008-2011, Judge Norton reported ownership of 3M stock. Judge Norton reported dividend income of less than \$1,000 for every reporting period. For the years 2003, 2009, 2010, and 2011, Judge Norton reported the gross value of the 3M stock of being between \$15,001 to \$50,000. For the year 2008, Judge Norton reported the gross value of the 3M stock as being between \$50,001 and \$100,000.
7. For the years 2003, 2008-2011, Judge Norton reported ownership of three sets of GE stock. Judge Norton reported dividend income on two of GE stocks of less than \$1,000 for every reporting period. For the third set of GE stock, Judge Norton reported dividend income of between \$1,001 to \$2,500 for every reporting period. The gross value of two of the GE stocks ranged from \$15,000 to \$50,000 for every reporting period. The gross value of the third GE stock ranged from \$50,000 to \$100,000 for every reporting period.



8. For the years 2003, 2008-2011, Judge Norton reported ownership of two sets of Verizon stock. Judge Norton reported dividend income of less than \$1,000 for each set for every reporting period. For the years 2003, 2008-2011, Judge Norton reported the gross value of each set of the Verizon stock as being \$15,001 or less for every reporting period.
9. On August 17, 2021, I spoke to Millona Araia at the Financial Disclosure Office, Administrative Office of the United States Courts office to follow up on the disclosure request. Ms. Araia told me that financial disclosure requests are processed in the order they are received. The staff is working remotely and there is a backlog of requests. Ms. Araia estimates that it could take up to four to six months to fulfill the request. Ms. Araia confirmed that financial disclosure reports will specify stocks held in IRA or mutual funds by providing the name of the IRA or mutual fund and the stocks it managed. Otherwise, stocks listed on the reports are directly owned and controlled by the Judge filing the report.
10. On August 31, 2021, I received Judge Norton's financial disclosure forms for the years 2014-2017 and reviewed them.
11. For the years 2014-2017, Judge Norton reported ownership of 3M stock. Judge Norton reported dividend income of less than \$1,000 for every reporting period. For the years 2014-2016, Judge Norton reported the gross value of the 3M stock of being between \$15,001 to \$50,000. For the year 2017, Judge Norton reported the gross value of the 3M stock as being less than \$15,000.
12. For the years 2014-2017, Judge Norton reported ownership of GE stock. For the year 2014, Judge Norton reported dividend income on two GE stocks. The first earned less than \$1,000 with a gross value of between \$15,001 to \$50,000. The second earned between \$1,001 to \$2,500 with a gross value of between \$50,001 to \$100,000. For the years 2015-2016, Judge Norton reported dividend income on three GE stocks. The first earned between \$1,001 to \$2,500 with a gross value of between \$15,001 to \$50,000. The second earned less than \$1,000 with a gross value of between \$15,001 to \$50,000. The third earned between \$1,001 to \$2,500 with a gross value of between \$50,001 to \$100,000. Additionally, Judge Norton reported purchasing less than \$15,000 in GE stock on July 20, 2016. For the year 2017, Judge Norton reported dividend income on two GE stocks. Both earned less than \$1,000 with a gross value of less than \$15,000.
13. For the years 2014-2017, Judge Norton reported ownership of Verizon stock. For each year of the reporting period, Judge Norton reported dividend income on two Verizon stocks. Each earned less than \$1,000 with a gross value of between \$15,001 to \$50,000. Additionally, Judge Norton reported purchasing less than \$15,000 in Verizon stock on July 20, 2016.
15. On Oct. 28, 2021, I received a thumb drive from the Financial Disclosure Office, Administrative Office of the United States. The thumb drive contained Judge Norton's financial disclosure reports for the years 2015-2019. I reviewed the financial disclosures the same day. I confirmed that there was no change in the data on the thumb drives for the

years 2015-2017 from previous reviews. I proceeded to review the new data for the years 2018-2019.

16. For the year 2018-2019, Judge Norton reported ownership of 3M stock. For the year 2018, Judge Norton reported dividend income of less than \$1,000 with the gross value of the 3M stock of being between \$15,001 to \$50,000. For the year 2019, Judge Norton reported selling the 3M stock on Nov. 29, 2019. The value of the 3M stock sold was less than \$15,000. Judge Norton realized a capital gain of between \$5,001 and \$15,000.
17. For the years 2018-2019, Judge Norton reported ownership of GE stock. For the year 2018, Judge Norton reported owning four GE stocks. Judge Norton reported dividend income on two GE stocks of less than \$1,000 each with the gross value of each GE stock being less than \$15,000. Judge Norton reported selling the remaining two GE stocks on Dec. 29, 2018. The value of each GE stock sold was less than \$15,000. Judge Norton realized a capital gain of less than \$1,000 for each stock. For the year 2019, Judge Norton reported owning three GE stocks. Judge Norton reported dividend income for one GE stock of between \$1,001 to \$2,500 with the gross value being between \$15,001 and \$50,000. For the remaining two GE stocks, Judge Norton reported dividend income of less than \$1,000 with a gross value of less than \$15,000.
18. For the years 2018-2019, Judge Norton reported ownership of Verizon stock. For the year 2018, Judge Norton reported owning three Verizon stocks. Judge Norton reported dividend income for one Verizon stock of less than \$1,000 with a gross value of less than \$15,000. Judge Norton reported selling the two remaining Verizon stocks on Dec. 29, 2018. The value of each Verizon stock sold was less than \$15,000. Judge Norton realized a capital gain of less than \$1,000 for each stock. For the year 2019, Judge Norton reported owning just one Verizon stock. Judge Norton reported dividend income of less than \$1,000 with a gross value of less than \$15,000.
19. I have attached Judge Norton's reports as exhibit 1 to this affidavit. I have attached a summary of the holdings I prepared as exhibit 2.

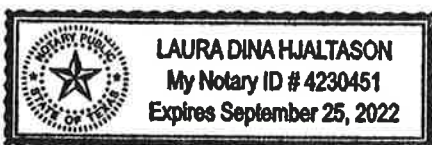
Further affiant sayeth not.

  
Cory Powell

SWORN TO and subscribed in my presence by Affiant this 1<sup>st</sup> day of November, 2021, in Dallas County, Texas.

  
Notary Public for the State of Texas

My Commission Expires: 9/25/22



# EXHIBIT 1

AO 10  
Rev. 1/2015**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2014***Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  Norton, David C.	<b>2. Court or Organization</b>  U.S. District Court	<b>3. Date of Report</b>  12/14/2015
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. District Court Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input checked="" type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2014 to 12/31/2014
<b>7. Chambers or Office Address</b>  P.O. Box 835 Charleston, SC 29402		
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust (Trust #1)
2.	Trustee	Article 5 Trust
3.	Trustee	Article 6 Trust
4.		
5.		

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 13

Name of Person Reporting

Norton, David C.

Date of Report

12/14/2015

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME  
(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

Web MD - Editorial Stipend

2. 2014

Private Consulting

3. 2014

Medical Director, Life Point of SC

4.

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Educational Seminar funded  
by DRI Drug & Medical  
Device

05/14/2014-05/15/2014

Washington DC

Educational seminar or  
program

Transportation, lodging &amp; food

2. Louisiana State Bar  
Association

11/19/2014-11/22/2014

New Orleans, LA

Activity of professional  
association

Transportation, lodging &amp; food

3.

4.

5.

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 13

Name of Person Reporting

Norton, David C.

Date of Report

12/14/2015

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

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**FINANCIAL DISCLOSURE REPORT**

Page 4 of 13

Name of Person Reporting

Norton, David C.

Date of Report

12/14/2015

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. AFLAC Inc	A	Dividend	M	T					
3. Alcoa Inc	A	Dividend	J	T					
4. Allianz Life Valuemark III	A	Dividend	L	T					
5. Altria Group	A	Dividend	J	T					
6. American Express Co	A	Dividend	J	T					
7. Amgen Inc	A	Dividend	K	T					
8. AT&T Inc #1	A	Dividend	J	T					
9. AT&T Inc #2	A	Dividend	J	T					
10. AT&T Inc #3	A	Dividend	K	T					
11. Bank of America	A	Dividend	K	T	Sold (part)	01/15/14	K	A	
12. Bank of America	A	Dividend	K	T	Buy (add'l)	02/19/14	K		
13. Bank of New York Mellon Corp	A	Dividend	J	T					
14. Berkshire Hathaway Inc C1 B		None	K	T					
15. Blackhawk Network Hldgs Inc		None	J	T	Buy	04/14/14	J		
16. BP PLC ADS	B	Dividend	K	T					
17. Bristol Myers Squibb	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

Date of Report

12/14/2015

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. American Cap Wrld Gr & Inc A #1	B	Dividend	L	T	Buy (add'l)	01/15/14	J		
19. American Cap Wrld Gr & Inc A #2	A	Dividend	K	T	Buy (add'l)	01/15/14	J		
20. American Cap Wrld Gr & Inc A #3	B	Dividend	L	T	Buy (add'l)	01/15/14	J		
21. American Cap Wrld Gr & Inc A #4	A	Dividend	K	T	Buy (add'l)	01/15/14	J		
22. Chevron Corp #1	B	Dividend	L	T					
23. Chevron Corp #2	A	Dividend	K	T					
24. Chevron Corp #3	B	Dividend	K	T					
25. Cisco Sys Inc	A	Dividend	J	T					
26. Citibank Deposit Program #1	A	Interest	J	T					
27. Citibank Deposit Program #2	A	Interest	K	T					
28. Citibank Deposit Program #3	A	Interest	K	T					
29. Citigroup Inc	A	Dividend	J	T	Sold (part)	01/15/14	J	A	
30. Citigroup Inc	A	Dividend	J	T	Buy (add'l)	02/19/14	J		
31. Clearbridge Aggressive Gwth C		None	K	T					
32. Coca-Cola Co	A	Dividend	K	T					
33. Comcast Corp CL A #1	A	Dividend	J	T					
34. Comcast Corp CL A #2	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. CSX Corp	A	Dividend	K	T					
36. Walt Disney Co #1	A	Dividend	J	T					
37. Walt Disney Co #2	A	Dividend	K	T					
38. Walt Disney Co #3	A	Dividend	K	T					
39. Dover	A	Dividend	K	T					
40. Dow Chemical #1	A	Dividend	J	T					
41. Dow Chemical #2	A	Dividend	J	T					
42. Duke Energy	A	Dividend	J	T					
43. E I Dupont Co	A	Dividend	K	T					
44. EV SC Muni Income A	C	Interest	N	T					
45. Eli Lilly & Co #1	B	Dividend	L	T					
46. Eli Lilly & Co #2	A	Dividend	J	T					
47. Emerson Electric	A	Dividend	K	T					
48. Energy Transfer Partners LP #1		None	K	T					
49. Energy Transfer Partners LP #2		None	L	T					
50. Exxon Mobil Corp #1	A	Dividend	J	T					
51. Exxon Mobil Corp #2	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

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12/14/2015

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. South State Bank	C	Interest	O	T					
53. General Electric Co #1	B	Dividend	K	T					
54. General Electric Co #2	A	Dividend	K	T					
55. General Electric Co #3	B	Dividend	L	T					
56. Goldman Sachs Group Inc	A	Dividend	J	T					
57. American Gr Fd of America A #1	A	Dividend	L	T					
58. American Gr Fd of America A #2	A	Dividend	L	T					
59. American Gr Fd of America A #3	A	Dividend	K	T					
60. Guided Therapeutics Inc		None	J	T					
61. Halyard Health Inc		None	J	T	Spinoff (from line 71)	11/11/14	J		
62. Hartford Financial Services Grp	A	Dividend	J	T					
63. Hartford Capital Appreciation Fund (IRA)	A	Dividend	N	T					
64. Hewlett Packard Co	A	Dividend	J	T					
65. Home Depot Inc	A	Dividend	K	T					
66. Honeywell Intl Inc	A	Dividend	K	T					
67. IBM	B	Dividend	L	T					
68. Intel (IRA) #1	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Intel #2	A	Dividend	K	T					
70. Johnson & Johnson	A	Dividend	J	T					
71. Kimberly Clark	A	Dividend	J	T					
72. Knowles Corporation		None	J	T	Spinoff (from line 39)	03/03/14	J		
73. Kraft Foods Inc CL A	A	Dividend	J	T					
74. Lincoln National Corp	A	Dividend	K	T					
75. Lincoln National Legacy II	A	Dividend	O	T					
76. Lincoln American Legacy View	A	Dividend	N	T					
77. MFS South Carolina Muni Bond CL A	A	Interest	L	T					
78. Mass Mutual Life Ins (MML Equity Fund Portfolio)	A	Dividend	J	T					
79. McDonalds Corp	A	Dividend	K	T					
80. Merck & Co Inc New	A	Dividend	J	T					
81. Metlife TVL Metlife Portfolioaccess	A	Dividend	M	T					
82. Metlife TVL Marquis Portfolios	A	Dividend	N	T					
83. Microsoft Corp	A	Dividend	J	T					
84. Mondelez Intl Inc Com	A	Dividend	J	T					
85. JP Morgan Chase & Co	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Norton, David C.

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12/14/2015

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Motorola Solutions Inc	A	Dividend	J	T					
87. 3M Company A	A	Dividend	K	T					
88. Nokia Corp	A	Dividend	J	T					
89. Norfolk Southern	C	Dividend	M	T					
90. North Carolina Rental Property 100% Transylvania County, NC	A	Rent	P1	W					
91. Paragon Life Fixed Fund	A	Dividend	J	T					
92. Pepsico Inc	A	Dividend	J	T					
93. Pfizer Inc #1	A	Dividend	J	T					
94. Pfizer Inc #2	A	Dividend	K	T					
95. Phillip Morris Intl Inc	A	Dividend	J	T					
96. Proctor & Gamble #1	A	Dividend	K	T					
97. Proctor & Gamble #2	B	Dividend	K	T					
98. Putnam Tax Smart FDS Equity FD Cl B	A	Dividend	M	T					
99. Safeway Inc New	A	Dividend	J	T					
100. SCANA Corp	B	Dividend	K	T					
101. South Street Corp Com #1	A	Dividend	L	T					
102. South Street Corp Com #2	B	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. South Street Corp Com #3	A	Dividend	M	T					
104. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
105. South Carolina State Retirement Plan	B	Dividend	M	T					
106. Suntrust Banks Inc	B	Dividend	M	T					
107. Synovus Financial Corp	A	Dividend	J	T					
108. Texas Instruments Inc	A	Dividend	K	T					
109. Time Warner Inc	A	Dividend	J	T					
110. Total System Services Inc	A	Dividend	J	T					
111. Travelers Companies Inc	A	Dividend	K	T					
112. Unit First Trust Dow Target 10 May 2014	A	Dividend	K	T	Sold (part)	05/12/14	J	A	
113. Unit First Trust Dow Target 10 May 2014	A	Dividend	K	T	Buy (add'l)	05/12/14	J		
114. Verizon #1	A	Dividend	J	T					
115. Verizon #2	A	Dividend	J	T					
116. American WA Mutual A #1	B	Dividend	M	T					
117. American WA Mutual A #2	B	Dividend	L	T					
118. American WA Mutual A #3	A	Dividend	K	T					
119. Wells Fargo & Co New #1	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Wells Fargo & Co New #2	A	Dividend	K	T					
121. WMI Hldgs Corp		None	J	T					
122. Zimmer Holdings Inc	A	Dividend	J	T					
123. Trust #1 - Royal Dutch Shell	A	Dividend	L	T					
124. Trust #1 - Allegheny Energy	A	Dividend	K	T					
125. Trust #1 - Idearc	A	Int./Div.	J	T					
126. Trust #1 - Eli Lilly	A	Dividend	K	T					
127. Trust #1 - Pepco Holdings Inc	A	Dividend	J	T					
128. Trust #1 - Lain Farm	E	Rent	P1	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 87 is located in Transylvania County, North Carolina, USA.
2. Trust # 1 consist of : Royal Dutch Shell value L  
                                   Allegheny Energy value K  
                                   Idearc value J  
                                   Eli Lily value K  
                                   Pepco Holdings Inc value J  
                                   Lain Farm value cost R Annual Rental Income E (This property was purchased in 1981 and is located outside the city limits in Johnson County, Indiana, USA. Life Vlaue mark II
3. Line 4. - Allianz Life Franklin Valuemark name modified to Allianz Valuemark III.
4. Line 16 - BP PLC Spons ADR name modified to BP PLC ADS.
5. Line 52 -First Federal of Charleston merged with SCBT 06/30/2014 to fom South State Bank.
6. Article 5 Trust consist of lines: 10,(11-12), 20,22,(29-30),35,43,45,51,55,58,67,86,89,101 & 116.
7. Article 6 Trust consist of lines: 3,5,6,7,9,  
                                   (11-12),13,14,15,19,24,25,32,34,38,41,42,44,50,54,56,61,62,64,65,66,69,70,71,73,77,79,80,83,84,85,88,92,93,95,96,99,108,109,111,115,116,120 & 122.
8. Lines 101-103 - Formerly known as SCBT due merger 06/30/2014 name changed to South St Corp Com.
9. Lines 112-113 Unit First Trust Dow Target 10 May 2014 formerly named Unit Trust Dow Target 10 May 2013.
10. Line 113 on 2013 Report - Washington Mutual Inc no longer listed due to value of zero. Company is in foreclosure per broker.
11. Line 70 in prior year 2013 report listed Legg Mason Cap Mgmt Val C name changed to Clearbridge Aggresive Gwth C listed on line 31 of 2014 report.

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Norton, David C.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David C. Norton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544



AO 10  
Rev. 1/2015**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2015**Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Norton, David C.	<b>2. Court or Organization</b>  U.S. District Court	<b>3. Date of Report</b>  06/27/2016
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. District Court Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2015 to 12/31/2015
<b>7. Chambers or Office Address</b>  P.O. Box 835 Charleston, SC 29402		
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust (Trust #1)
2.	Trustee	Article 5 Trust
3.	Trustee	Article 6 Trust
4.		
5.		

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Web MD - Editorial Stipend
2. 2015	Private Consulting
3. 2015	Medical Director, Life Point of SC
4. 2015	Newberry Pathology Associates - Autopsy Services
5. 2015	Springer Publishing - Book Royalties

**IV. REIMBURSEMENTS** — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. South Carolina Association for Justice	08/06/2015-08/08/2015	Hilton Head Island, SC	Educational seminar or program	Transportation, lodging & food.
2.				
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. AFLAC Inc	A	Dividend	M	T					
3. Alcoa Inc	A	Dividend	J	T					
4. Allianz Life Valuemark III	A	Dividend	K	T					
5. Altria Group	A	Dividend	J	T					
6. American Europacific GRW A	A	Dividend	K	T	Buy	06/16/15	K		
7. American Express Co	A	Dividend	J	T					
8. Amgen Inc	A	Dividend	K	T					
9. AT&T Inc #1	A	Dividend	J	T					
10. AT&T Inc #2	A	Dividend	J	T					
11. AT&T Inc #3	A	Dividend	K	T					
12. Bank of America	A	Dividend	J	T					
13. Bank of America	A	Dividend	K	T					
14. Bank of New York Mellon Corp	A	Dividend	J	T					
15. Berkshire Hathaway Inc Cl B		None	K	T					
16. Blackhawk Network Hldgs Inc		None	J	T					
17. BP PLC ADS	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Bristol Myers Squibb	A	Dividend	K	T					
19. American Cap Wrld Gr & Inc A #1	B	Dividend	L	T					
20. American Cap Wrld Gr & Inc A #2	B	Dividend	K	T					
21. American Cap Wrld Gr & Inc A #3	B	Dividend	L	T					
22. American Cap Wrld Gr & Inc A #4	A	Dividend	K	T					
23. Chemours Co	A	Dividend	J	T	Spinoff (from line 24)	07/10/15	J		
24. Chevron Corp #1	B	Dividend	K	T					
25. Chevron Corp #2	A	Dividend	K	T					
26. Chevron Corp #3	B	Dividend	K	T					
27. Cisco Sys Inc	A	Dividend	J	T					
28. Citibank Deposit Program #1	A	Interest	J	T					
29. Citibank Deposit Program #2	A	Interest	K	T					
30. Citibank Deposit Program #3	A	Interest	K	T					
31. Citigroup Inc	A	Dividend	J	T					
32. Clearbridge Aggressive Gwth C	A	Dividend	L	T					
33. Coca-Cola Co	A	Dividend	K	T					
34. Comcast Corp CL A #1	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Comcast Corp CL A #2	A	Dividend	J	T					
36. CSX Corp	B	Dividend	K	T					
37. Walt Disney Co #1	A	Dividend	J	T					
38. Walt Disney Co #2	A	Dividend	K	T					
39. Walt Disney Co #3	A	Dividend	K	T					
40. Dover	A	Dividend	K	T					
41. Dow Chemical #1	A	Dividend	J	T					
42. Dow Chemical #2	A	Dividend	J	T					
43. Duke Energy	A	Dividend	J	T					
44. DuPont El De NeMours & Co	A	Dividend	K	T					
45. EV SC Muni Income A	A	Interest	N	T					
46. Eli Lilly & Co #1	A	Dividend	L	T	Sold (part)	02/04/15	J	A	
47. Eli Lilly & Co #2	A	Dividend	J	T					
48. Emerson Electric	A	Dividend	K	T					
49. Energy Transfer Partners LP #1		None	K	T					
50. Energy Transfer Partners LP #2		None	K	T					
51. Exxon Mobil Corp #1	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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**VII. INVESTMENTS and TRUSTS** – *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Exxon Mobil Corp #2	B	Dividend	K	T					
53. South State Bank	C	Interest	O	T					
54. General Electric Co #1	B	Dividend	K	T					
55. General Electric Co #2	A	Dividend	K	T					
56. General Electric Co #3	B	Dividend	L	T	Sold (part)	02/04/15	J	A	
57. Goldman Sachs Group Inc	A	Dividend	J	T					
58. American Gr Fd of America A #1	A	Dividend	L	T					
59. American Gr Fd of America A #2	C	Dividend	L	T					
60. American Gr Fd of America A #3	A	Dividend	L	T					
61. Guided Therapeutics Inc		None	J	T					
62. Halyard Health Inc		None	J	T					
63. Hartford Financial Services Grp	A	Dividend	J	T					
64. Hartford Capital Appreciation Fund (IRA)	A	Dividend	N	T					
65. Hewlett Packard Enterprise	A	Dividend	J	T					
66. Home Depot Inc	B	Dividend	K	T					
67. Honeywell Intl Inc	A	Dividend	K	T					
68. IBM	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. Intel (IRA) #1	A	Dividend	L	T					
70. Intel #2	A	Dividend	K	T					
71. Johnson & Johnson	A	Dividend	J	T					
72. Kimberly Clark	A	Dividend	J	T					
73. Knowles Corporation		None	J	T					
74. Kraft Foods Inc CL A	A	Dividend	J	T					
75. Lincoln National Corp	A	Dividend	K	T					
76. Lincoln National American Legacy II	A	Dividend	O	T					
77. Lincoln American Legacy View	A	Dividend	N	T					
78. MFS South Carolina Muni Bond CL A	A	Interest	L	T					
79. Mass Mutual Life Ins (MML Equity Fund Portfolio)	A	Dividend	J	T					
80. McDonalds Corp	A	Dividend	K	T					
81. Merck & Co Inc New	A	Dividend	J	T					
82. Metlife Portfolioaccess	A	Dividend	M	T					
83. Metlife Marquis Portfolios VA	A	Dividend	N	T					
84. Microsoft Corp	A	Dividend	J	T					
85. Mondelez Intl Inc Com	A	Dividend	J	T					

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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86. JP Morgan Chase & Co	A	Dividend	J	T					
87. Motorola Solutions Inc	A	Dividend	J	T					
88. 3M Company A	A	Dividend	K	T					
89. Nokia Corp	A	Dividend	J	T					
90. Norfolk Southern	C	Dividend	M	T					
91. North Carolina Rental Property 100% Transylvania County, NC	A	Rent	P1	W					
92. Paragon Life Fixed Fund	A	Dividend	J	T					
93. Pepsico Inc	A	Dividend	J	T					
94. Pfizer Inc #1	A	Dividend	J	T					
95. Pfizer Inc #2	A	Dividend	K	T					
96. Phillip Morris Intl Inc	A	Dividend	J	T					
97. Proctor & Gamble #1	A	Dividend	K	T					
98. Proctor & Gamble #2	B	Dividend	K	T					
99. Putnam Tax Smart FDS Equity FD Cl B	A	Dividend	M	T					
100. Safeway Inc New		None	J	T					
101. SCANA Corp New	B	Dividend	K	T					
102. South Street Corp Com #1	B	Dividend	L	T					

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103. South Street Corp Com #2	C	Dividend	N	T					
104. South Street Corp Com #3	A	Dividend	M	T					
105. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
106. South Carolina State Retirement Plan	B	Dividend	M	T					
107. Suntrust Banks Inc	C	Dividend	M	T					
108. Synovus Financial Corp	A	Dividend	J	T	Sold (part)	02/04/15	J	A	
109. Texas Instruments Inc	A	Dividend	K	T					
110. Time Inc	A	Dividend	J	T	Spinoff (from line 111)	06/09/15	J		
111. Time Warner Inc New	A	Dividend	J	T					
112. Total System Services Inc	A	Dividend	K	T					
113. Travelers Companies Inc	A	Dividend	K	T					
114. Unit First Trust Dow Target 10 May 2014	A	Dividend			Matured	06/09/15	K		
115. Verizon Communications #1	A	Dividend	J	T					
116. Verizon Communications #2	A	Dividend	J	T					
117. American WA Mutual A #1	B	Dividend	M	T					
118. American WA Mutual A #2	B	Dividend	L	T					
119. American WA Mutual A #3	A	Dividend	K	T					

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120. Wells Fargo & Co New #1	A	Dividend	J	T					
121. Wells Fargo & Co New #2	A	Dividend	K	T					
122. WMI Hldgs Corp		None	J	T					
123. Zimmer Biomet Holdings Inc	A	Dividend	J	T					
124. Trust #1 - Royal Dutch Shell	A	Dividend	L	T					
125. Trust #1 - Allegheny Energy	A	Dividend	K	T					
126. Trust #1 - Idearc	A	Int./Div.	J	T					
127. Trust #1 - Eli Lilly	A	Dividend	K	T					
128. Trust #1 - Pepco Holdings Inc	A	Dividend	J	T					
129. Trust #1 - Lain Farm, Johnson County, Indiana	E	Rent	P1	W					
130.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 91 is located in Transylvania County, North Carolina, USA.

2. Trust # I consist of : Royal Dutch Shell value L

Allegheny Energy value K

Idearc value J

Eli Lilly value K

Pepco Holdings Inc value J

Lain Farm value cost R Annual Rental Income E (This property was purchased in 1981 and is located outside the city limits in Johnson County, Indiana, USA. Life Valuemark II

3. Line 44. - E.I. DuPont Co name modified to DuPont E. I. DeMours &amp; Co.

4. Line 76 - Lincoln National Legacy II name modified to Lincoln National American Legacy II.

5. Line 852 -Metlife TVL Metlife Portfolioaccess name modified to Metlife Portfolioaccess.

6. Article 5 Trust consist of lines: 11,13,21,23,24,31,36,44,46,49,52,56,59,68,87,90,102 &amp; 121.

7. Article 6 Trust consist of lines:

3,5,7,8,10,12,14-16,20,26,27,33,35,39,42,43,45,51,55,57,62,63,65-67,70-72,74,78,80,81,84-86,89,93,94,96,97,109-111,113,116,117,120 &amp; 122.

8. Lines 100 - Safeway listed value as J however asset has zero market value but not yet removed from financial report per broker.

9. Lines 101- SCANA Corp name modified to SCANA Corp New.

10. Line 115-116 - Verizon name modified to Verizon Communications.

11. Line 123 - Zimmer Holdings Inc. name modified to Zimmer Biomet Holdongs Inc.



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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David C. Norton**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

AO 10  
Rev. 1/2017**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2016**Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Norton, David C.	<b>2. Court or Organization</b>  U.S. District Court	<b>3. Date of Report</b>  08/11/2017
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. District Court Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2016 to 12/31/2016
<b>7. Chambers or Office Address</b>  P.O. Box 835 Charleston, SC 29402		
<b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Article 5 Trust
2.	Trustee	Article 6 Trust
3.		
4.		
5.		

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Web MD - Editorial Stipend
2. 2016	Private Consulting
3. 2016	Medical Director, Life Point of SC
4. 2016	Newberry Pathology Associates - Autopsy Services
5. 2016	Springer Publishing - Book Royalties

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Judicial Conference Committee - Circuit Judicial Conference	05/22/16 to 05/25/16	White Sulphur Springs, WV	Educational seminar or program	Transportation, lodging & food.
2. Bar Association (private)	09/22/16	Columbia, SC	Activity of professional association	Transportation & food.
3.				
4.				
5.				

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. AES Corp		None	J	T					
2. AFLAC Inc		None			Sold	05/26/16	M	B	
3. Advansix Inc Com		None	J	T	Spinoff (from line 71)	03/13/16	J		
4. Alcoa Inc	A	Dividend	J	T	Sold (part)	10/06/16	J	A	
5. Allianz Life Valuemark III		None			Sold	05/12/16	J	C	
6. Altria Group	A	Dividend	J	T					
7. American Europacific GRW A	A	Dividend			Sold	07/06/16	K	A	
8. American Express Co	A	Dividend	J	T					
9. Amgen Inc	A	Dividend	K	T					
10. Arconic inc	A	Dividend	J	T	Buy	11/25/16	J		
11. AT&T Inc #1	A	Dividend	J	T					
12. AT&T Inc #2	A	Dividend	J	T					
13. AT&T Inc #3	A	Dividend	K	T					
14. Bank of America #1	A	Dividend	J	T					
15. Bank of America #2	A	Dividend	K	T					
16. Bank of New York Mellon Corp	A	Dividend	J	T					
17. Berkshire Hathaway Inc C1 B		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Blackhawk Network Hlds Inc		None	J	T					
19. BP PLC ADR	B	Dividend	K	T					
20. Bristol Myers Squibb	A	Dividend	K	T					
21. American Cap Wrld Gr & Inc A #1		None			Sold	07/06/16	L	A	
22. American Cap Wrld Gr & Inc A #2		None			Sold	07/06/16	K	A	
23. American Cap Wrld Gr & Inc A #3		None			Sold	07/06/16	L	A	
24. American Cap Wrld Gr & Inc A #4		None			Sold	07/06/16	K	A	
25. Charter Communications		None	J	T	Spinoff (from line 114)	05/20/16	J		
26. Chemours Co	A	Dividend	J	T					
27. Chevron Corp #1	B	Dividend	K	T					
28. Chevron Corp #2	A	Dividend	K	T					
29. Chevron Corp #3	B	Dividend	K	T					
30. Cisco Sys Inc	A	Dividend	J	T					
31. Fidelity Money Market	A	Interest	J	T					
32. Fidelity Money Market	A	Interest	K	T					
33. Fidelity Money Market	A	Interest	K	T					
34. Citigroup Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Clearbridge Aggressive Gwth C		None			Sold	06/21/16	J	A	
36. Coca-Cola Co	A	Dividend	K	T					
37. Comcast Corp CL A #1	A	Dividend	J	T					
38. Comcast Corp CL A #2	A	Dividend	J	T					
39. CSX Corp	A	Dividend	L	T					
40. Walt Disney Co #1		None			Sold	05/12/16	J	A	
41. Walt Disney Co #2	A	Dividend	K	T					
42. Walt Disney Co #3	A	Dividend	K	T					
43. Dover	A	Dividend	K	T					
44. Dow Chemical #1	A	Dividend	J	T					
45. Dow Chemical #2	A	Dividend	J	T					
46. Duke Energy	A	Dividend	J	T					
47. DuPont El De NeMours & Co	A	Dividend	K	T					
48. EV SC Muni Income A		None			Sold	07/06/16	M	A	
49. Eli Lilly & Co #1	A	Dividend	L	T					
50. Eli Lilly & Co #2	A	Dividend	J	T					
51. Emerson Electric	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Energy Transfer Partners LP #1		None	K	T					
53. Energy Transfer Partners LP #2		None	K	T					
54. Exxon Mobil Corp #1	A	Dividend	J	T					
55. Exxon Mobil Corp #2	B	Dividend	K	T					
56. South State Bank	C	Interest	O	T					
57. General Electric Co #1	B	Dividend	K	T					
58. General Electric Co #2	A	Dividend	K	T					
59. General Electric Co #3	B	Dividend	L	T					
60. Goldman Sachs Group Inc	A	Dividend	J	T					
61. American Gr Fd of America A #1		None			Sold	06/21/16	L	B	
62. American Gr Fd of America A #2		None			Sold	06/21/16	L	B	
63. American Gr Fd of America A #3		None			Sold	06/21/16	L	B	
64. Guided Therapeutics Inc		None	J	T					
65. Halyard Health Inc		None	J	T					
66. Hartford Financial Services Grp	A	Dividend	J	T					
67. Hartford Capital Appreciation Fund (IRA)	A	Dividend	N	T					
68. Hewlett Packard Enterprise		None			Sold	06/21/16	J	A	

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69. Hewlett Packard Inc Com	A	Dividend	J	T	Buy	10/15/16	J		
70. Home Depot Inc	B	Dividend	K	T					
71. Honeywell Intl Inc	A	Dividend	K	T					
72. IBM	B	Dividend	M	T					
73. Intel (IRA) #1		None			Sold	07/12/16	K	B	
74. Intel #2	A	Dividend	K	T					
75. Johnson & Johnson	A	Dividend	J	T					
76. Kimberly Clark	A	Dividend	J	T					
77. Knowles Corporation		None	J	T					
78. Kraft-Heinz Foods Inc CL A	A	Dividend	J	T					
79. Lincoln National Corp	A	Dividend	K	T					
80. Lincoln National American Legacy II		None			Sold	05/12/16	O	D	
81. Lincoln American Legacy View		None			Sold	05/12/16	N	C	
82. MFS South Carolina Muni Bond CL A		None			Sold	07/06/16	L	B	
83. Mass Mutual Life Ins (MML Equity Fund Portfolio)	A	Dividend	J	T					
84. McDonalds Corp	A	Dividend	K	T					
85. Merck & Co Inc New	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

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86. Metlife Portfolioaccess		None			Sold	05/12/16	M	C	
87. Metlife Marquis Portfolios VA		None			Sold	05/12/16	N	C	
88. Microsoft Corp	A	Dividend	J	T					
89. Mondelez Intl Inc Com		None	J	T					
90. JP Morgan Chase & Co	A	Dividend	K	T					
91. Motorola Solutions Inc	A	Dividend	J	T					
92. 3M Company A	A	Dividend	K	T					
93. Nokia Corp		None	J	T					
94. Norfolk Southern	B	Dividend	M	T					
95. North Carolina Rental Property 100% Transylvania County, NC	A	Rent	P1	W					
96. Paragon Life Fixed Fund	A	Dividend	J	T					
97. Pepsico Inc	A	Dividend	J	T					
98. Pfizer Inc #1	A	Dividend	J	T					
99. Pfizer Inc #2	A	Dividend	K	T					
100. Phillip Morris Intl Inc	A	Dividend	J	T					
101. Proctor & Gamble #1	A	Dividend	K	T					
102. Proctor & Gamble #2	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. Putnam Tax Smart FDS Equity FD Cl B		None			Sold	05/12/16	M	C	
104. SCANA Corp New	A	Dividend	M	T					
105. South Street Corp Com #1	B	Dividend	L	T					
106. South Street Corp Com #2	C	Dividend	N	T					
107. South Street Corp Com #3		None			Sold	07/12/16	L	C	
108. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
109. South Carolina State Retirement Plan	B	Dividend	M	T					
110. Suntrust Banks Inc	C	Dividend	M	T					
111. Synovus Financial Corp	A	Dividend	J	T					
112. Texas Instruments Inc	A	Dividend	K	T					
113. Time Inc New Com	A	Dividend	J	T					
114. Time Warner Inc New	A	Dividend	K	T					
115. Total System Services Inc	A	Dividend	K	T					
116. Travelers Companies Inc	A	Dividend	K	T					
117. Verizon Communications #1	A	Dividend	J	T					
118. Verizon Communications #2	A	Dividend	J	T					
119. American WA Mutual A #1		None			Sold	07/06/16	M	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. American WA Mutual A #2		None			Sold	07/06/16	L	B	
121. American WA Mutual A #3		None			Sold	07/06/16	K	A	
122. Wells Fargo & Co New #1	A	Dividend	J	T					
123. Wells Fargo & Co New #2	A	Dividend	K	T					
124. WMI Hldgs Corp		None			Sold	01/26/16	J	A	
125. Zimmer Biomet Holdings Inc	A	Dividend	J	T					
126. Trust #1 - Royal Dutch Shell		None			Sold	01/20/16	L	D	
127. Trust #1 - Allegheny Energy		None			Sold	01/26/16	K	D	
128. Trust #1 - Idearc		None			Sold	01/26/16	J	D	
129. Trust #1 - Eli Lilly		None			Sold	01/26/16	K	D	
130. Trust #1 - Pepco Holdings Inc		None			Sold	01/26/16	J	D	
131. Trust #1 - Lain Farm, Johnson County, Indiana	E	Rent	P1	W					
132. JNL Disciplined Moderate		None	M	T	Buy	07/15/16	M		
133. JNL American Funds Balanced		None	M	T	Buy	07/15/16	M		
134. JNL Invesco Small Cap Growth		None	M	T	Buy	07/15/16	M		
135. JNL Morgan Midcap Growth		None	M	T	Buy	07/15/16	M		
136. JNL Mellon Capital Consumer Brands Sector		None	M	T	Buy	07/15/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. JNL Mellon Capital Healthcare Sector		None	M	T	Buy	07/15/16	M		
138. JNLMellon Capital Nasdaq 100		None	M	T	Buy	07/15/16	M		
139. JNL S&P 4		None	M	T	Buy	07/15/16	M		
140. JNL S&P Competitive Advantage		None	M	T	Buy	07/15/16	M		
141. JNL T. Rowe Price Established Growth		None	M	T	Buy	07/15/16	M		
142. JNL Disciplined Moderate Growth		None	L	T	Buy	07/15/16	L		
143. JNL American Funds Balanced		None	L	T	Buy	07/15/16	L		
144. JNL American Funds Global Bond		None	J	T	Buy	07/15/16	J		
145. JNL Invesco Small Cap Growth		None	L	T	Buy	07/15/16	L		
146. JNL JP Morgan Midcap Growth		None	L	T	Buy	07/15/16	L		
147. JNL Mellon Capital Consumer Brands Sector		None	L	T	Buy	07/15/16	L		
148. JNL Mellon Capital Healthcare Sector		None	L	T	Buy	07/15/16	L		
149. JNL Mellon Capital Nasdaq 100		None	L	T	Buy	07/15/16	L		
150. JNL S&P 4		None	L	T	Buy	07/15/16	L		
151. JNL S&P Competitive Advantage		None	L	T	Buy	07/15/16	L		
152. JNL T. Rowe Price Established Growth		None	L	T	Buy	07/15/16	L		
153. EMC Corp Mass Note		None	J	T	Buy	07/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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154. EMC Corp Mass Note		None			Sold	09/19/16	J	A	
155. Qualcomm Inc Note		None	J	T	Buy	07/20/16	J		
156. Qualcomm Inc Note		None			Sold	10/05/16	J	A	
157. US Treas NTS		None	J	T	Buy	07/18/16	J		
158. US Treas NTS		None			Sold	08/31/16	J	A	
159. Wells Fargo & Co New Note		None	J	T	Buy	07/20/16	J		
160. Wells Fargo & Co New Note		None			Sold	10/24/16	J	A	
161. AT&T Inc Note		None	J	T	Buy	07/18/16	J		
162. Adobe Sys Inc Note		None	J	T	Buy	07/20/16	J		
163. Amgen Inc Note		None	J	T	Buy	07/18/16	J		
164. Apple Inc Note		None	J	T	Buy	08/31/16	J		
165. Bank of America Corp		None	J	T	Buy	07/18/16	J		
166. Citigroup Inc Note		None	J	T	Buy	07/18/16	J		
167. Citigroup Inc Note #2		None	J	T	Buy	10/24/16	J		
168. Conocophillips Note		None	J	T	Buy	07/20/16	J		
169. Gilead Sciences Inc Note		None	J	T	Buy	07/18/16	J		
170. JPMorgan Chase&Co Note		None	J	T	Buy	07/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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171. Morgan Stanley MTN		None	J	T	Buy	12/19/16	J		
172. Oracle Corp Note		None	J	T	Buy	10/24/16	J		
173. United States Treas NTS Note		None	J	T	Buy	07/18/16	J		
174. United States Treas NTS Note		None	J	T	Buy	07/18/16	J		
175. United States Treas NTS Note		None	J	T	Buy	07/18/16	J		
176. United States Treas NTS Note		None	J	T	Buy	10/24/16	J		
177. United States Treas NTS		None	J	T	Buy	07/18/16	J		
178. United States Treas NTS		None	J	T	Buy	10/24/16	J		
179. United States Treas NTS		None	J	T	Buy	07/18/16	J		
180. United States Treas NTS		None	J	T	Buy	10/24/16	J		
181. Well Fargo Co MTN Be		None	J	T	Buy	10/25/16	J		
182. Dow Chemical Co		None	J	T	Buy	07/15/16	J		
183. Dow Chemical Co		None			Sold	10/01/16	J	A	
184. Royal Dutch Shell Adr EA Rep 2		None	J	T	Buy	12/16/16	J		
185. Royal Dutch Shell Adr EA Rep 2		None			Sold	12/19/16	J	A	
186. Royal Dutch Shell Adr EA REP 2		None	J	T	Buy	09/19/16	J		
187. Royal Dutch Shell Adr EA Rep 2		None			Sold	09/09/16	J	A	

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188. Wells Fargo & Co		None	J	T	Buy	07/15/16	J		
189. Wells Fargo & Co		None			Sold	10/01/16	J	A	
190. AT&T INC Com USD1		None	J	T	Buy	07/15/16	J		
191. AT&T INC COM USD1		None	J	T	Buy (add'l)	10/24/16	J		
192. AT&T INC COM USD1		None	J	T	Buy (add'l)	10/27/16	J		
193. ABBVIE INC		None	J	T	Buy	07/15/16	J		
194. ABBVIE INC		None	J	T	Buy (add'l)	10/24/16	J		
195. ABBVIE INC		None	J	T	Buy (add'l)	11/10/16	J		
196. CHEVRON CORP		None	J	T	Buy	07/15/16	J		
197. CHEVRON CORP		None	J	T	Buy (add'l)	09/07/16	J		
198. CHEVRON CORP		None	J	T	Buy (add'l)	10/24/16	J		
199. CHEVRON CORP		None	J	T	Buy (add'l)	12/07/16	J		
200. DOW CHEMICAL CO		None	J	T	Buy	07/15/16	J		
201. DOW CHEMICAL CO		None	J	T	Buy (add'l)	09/20/16	J		
202. DOW CHEMICAL CO		None	J	T	Buy (add'l)	10/24/16	J		
203. DOW CHEMICAL CO		None	J	T	Buy (add'l)	10/25/16	J		
204. FORD MTR CO DEL COM		None	J	T	Buy	07/15/16	J		

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205. FORD MTR CO DEL COM		None	J	T	Buy (add'l)	08/29/16	J		
206. FORD MTR CO DEL COM		None	J	T	Buy (add'l)	10/24/16	J		
207. FORD MTR CO DEL COM		None	J	T	Buy (add'l)	11/28/16	J		
208. INTEL BUSINESS MACH		None	K	T	Buy	12/07/16	K		
209. PFIZER INC		None	J	T	Buy	11/28/16	J		
210. PHILIP MORRIS INTL INC COM		None	J	T	Buy	10/24/16	J		
211. ROYAL DUTCH SHELL PLC SPON ADR B		None	K	T	Buy	12/19/16	K		
212. TARGET CORP COM		None	J	T	Buy	12/07/16	J		
213. WELLS FARGO & COMPANY COM		None	K	T	Buy	12/19/16	K		
214. DELPHI AUTOMOTIVE PLC COM		None	J	T	Buy	07/15/16	J		
215. DELPHI AUTOMOTIVE PLC COM		None			Sold	10/24/16	J	A	
216. INGERSOLL-RAND PLC SHS		None	J	T	Buy	07/15/16	J		
217. INGERSOLL-RAND PLC SHS		None			Sold	10/24/16	J	A	
218. LYONDELLBASELL INDUSTRIES N V COM		None	J	T	Buy	07/15/16	J		
219. LYONDELLBASELL INDUSTRIES N V COM		None			Sold	10/21/16	J	A	
220. ADVANSIX INC COM		None	J	T	Buy	07/15/16	J		
221. ADVANSIX INC COM		None			Sold	10/18/16	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. APPLE INC		None	J	T	Buy	07/15/16	J		
223. APPLE INC		None			Sold	10/24/16	J	A	
224. BB&T CORP		None	J	T	Buy	07/15/16	J		
225. BB&T CORP		None			Sold	10/24/16	J	A	
226. BANK OF THE OZARKS		None	J	T	Buy	07/15/16	J		
227. BANK OF THE OZARKS		None			Sold	10/24/16	J	A	
228. CAPITAL ONE FINANCIAL CORP		None	J	T	Buy	07/15/16	J		
229. CAPITAL ONE FINANCIAL CORP		None			Sold	10/24/16	J	A	
230. CARDINAL FINL GROUP		None	J	T	Buy	08/16/16	J		
231. CARDINAL FINL GROUP		None			Sold	10/21/16	J	A	
232. CARDINAL FINL GROUP		None	J	T	Buy	07/15/16	J		
233. CARDINAL FINL GROUP		None			Sold	10/18/16	J	A	
234. CARNIVAL CORP PAIRED CTF		None	J	T	Buy	07/15/16	J		
235. CARNIVAL CORP PAIRED CTF		None			Sold	10/24/16	J	A	
236. CITIGROUP INC		None	J	T	Buy	07/15/16	J		
237. CITIGROUP INC		None			Sold	10/24/16	J	A	
238. DELTA AIRLINES INC		None	J	T	Buy	07/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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Norton, David C.

Date of Report

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**VII. INVESTMENTS and TRUSTS** – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. DELTA AIRLINES INC		None			Sold	10/24/16	J	A	
240. DEVON ENERGY CORP		None	J	T	Buy	07/15/16	J		
241. DEVON ENERGY CORP		None			Sold	10/24/16	J	A	
242. DU PONT E I DE NEMOURS & CO		None	J	T	Buy	07/15/16	J		
243. DU PONT E I DE NEMOURS & CO		None			Sold	10/24/16	J	A	
244. EOG RESOURCES INC		None	J	T	Buy	07/15/16	J		
245. EOG RESOURCES INC		None			Sold	10/18/16	J	A	
246. HALLIBURTON CO HOLDING CO FRMLY		None	J	T	Buy	07/15/16	J		
247. HALLIBURTON CO HOLDING CO FRMLY		None			Sold	10/24/16	J	A	
248. HOME DEPOT INC		None	J	T	Buy	07/15/16	J		
249. HOME DEPOT INC		None			Sold	10/24/16	J	A	
250. HONEYWELL INTL INC		None	J	T	Buy	07/15/16	J		
251. HONEYWELL INTL INC		None			Sold	10/21/16	J	A	
252. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	07/15/16	J		
253. INTERCONTINENTAL EXCHANGE INC		None			Sold	10/24/16	J	A	
254. MICROSOFT CORP		None	J	T	Buy	07/15/16	J		
255. MICROSOFT CORP		None			Sold	10/24/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. OCCIDENTAL PETROLEUM CORP		None	J	T	Buy	07/15/16	J		
257. OCCIDENTAL PETROLEUM CORP		None			Sold	10/24/16	J	A	
258. OCEANEERING INTL INC		None	J	T	Buy	07/15/16	J		
259. OCEANEERING INTL INC		None			Sold	10/24/16	J	A	
260. OMINCOM GROUP		None	J	T	Buy	07/15/16	J		
261. OMICOM GROUP		None			Sold	10/24/16	J	A	
262. PROCTOR & GAMBLE		None	J	T	Buy	07/15/16	J		
263. PROCTOR & GAMBLE		None			Sold	10/24/16	J	A	
264. SABRE CORP COM		None	J	T	Buy	07/15/16	J		
265. SABRE CORP COM		None			Sold	10/24/16	J	A	
266. ALLERGAN PLC COM		None	J	T	Buy	07/15/16	J		
267. DELPHI AUTOMOTIVE PLC COM		None	J	T	Buy	07/15/16	J		
268. INGERSOLL-RAND PLC SHS		None	J	T	Buy	07/15/16	J		
269. AT&T INC NEW COM		None	J	T	Buy	07/15/16	J		
270. AETNA INC NEW COM		None	J	T	Buy	07/15/16	J		
271. APPLE INC		None	J	T	Buy	07/15/16	J		
272. BB&T CORP		None	J	T	Buy	07/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. BANK OF THE OZARKS INC COM		None	J	T	Buy	07/15/16	J		
274. CAMDEN PPTY TR SH BEN INT		None	J	T	Buy	07/15/16	J		
275. CAPITAL ONE PAIRED CTF		None	J	T	Buy	07/15/16	J		
276. CARNIVAL CORP PAIRED CTF		None	J	T	Buy	07/15/16	J		
277. CISCO SYS INC COM		None	J	T	Buy	07/15/16	J		
278. CITIGROUP INC COM NEW		None	J	T	Buy	07/15/16	J		
279. DARLING INGREDIENTS INC		None	J	T	Buy	07/15/16	J		
280. DELTA AIR LINES INC DEL COM NEW		None	J	T	Buy	07/15/16	J		
281. DEVON ENERGY CORP NEW		None	J	T	Buy	07/15/16	J		
282. DUPONT EI NEMOURS & CO		None	J	T	Buy	07/15/16	J		
283. EOG RESOURCES INC		None	J	T	Buy	07/15/16	J		
284. HALIBURTON CO HOLDING		None	J	T	Buy	07/15/16	J		
285. HOME DEPOT INC COM		None	J	T	Buy	07/15/16	J		
286. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	07/15/16	J		
287. MEDNAX INC		None	J	T	Buy	07/15/16	J		
288. MICROSOFT CORP		None	J	T	Buy	07/15/16	J		
289. OCCIDENTAL PETROLEUM CORP		None	J	T	Buy	07/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

290. OCEANEERING INTL		None	J	T	Buy	07/15/16	J		
291. OMNICOM GROUP		None	J	T	Buy	07/15/16	J		
292. PARAMOUNT GROUP INC		None	J	T	Buy	07/15/16	J		
293. PROCTER AND GAMBLE CO		None	J	T	Buy	07/15/16	J		
294. SABRE CORP		None	J	T	Buy	07/15/16	J		
295. ST JUDE MEDICAL INC		None	J	T	Buy	07/15/16	J		
296. TOTAL SYS SVCS		None	J	T	Buy	07/15/16	J		
297. TUPPERWARE BRANDS CORP		None	J	T	Buy	07/15/16	J		
298. UMPQUA HOLDINGS CORP		None	J	T	Buy	07/15/16	J		
299. UNION PACIFIC CORP		None	J	T	Buy	07/15/16	J		
300. ZIMMER BIOMET HLDGS INC		None	J	T	Buy	07/15/16	J		
301. ALLERGAN PLC COM		None	J	T	Buy	07/19/16	J		
302. DELPHI AUTOMOTIVE PLC COM		None	J	T	Buy	07/19/16	J		
303. INGERSOLL-RAND PLC SHS		None	J	T	Buy	07/19/16	J		
304. AT&T INC		None	J	T	Buy	07/19/16	J		
305. ADVANSIX INC		None	J	T	Buy	07/19/16	J		
306. AETNA INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. APPLE INC		None	J	T	Buy	07/19/16	J		
308. BB&T CORP		None	J	T	Buy	07/19/16	J		
309. BANK OF THE OZARKS		None	J	T	Buy	07/19/16	J		
310. CAMDEN PPTY TR SH BEN INT		None	J	T	Buy	07/19/16	J		
311. CAPITAL ONE FINANCIAL COROP		None	J	T	Buy	07/19/16	J		
312. CARNIVAL CORP PAIRED CTF		None	J	T	Buy	07/19/16	J		
313. CISCO SYS INC		None	J	T	Buy	07/19/16	J		
314. CITIGROUP INC		None	J	T	Buy	07/19/16	J		
315. DARLING INGREDIENTS INC		None	J	T	Buy	07/19/16	J		
316. DELTA AIR LINES INC		None	J	T	Buy	07/19/16	J		
317. DEVON ENERGY CORP		None	J	T	Buy	07/19/16	J		
318. DU PONT E I DE NEMOURS & CO		None	J	T	Buy	07/19/16	J		
319. EOG RESOURCES INC		None	J	T	Buy	07/19/16	J		
320. HALLIBURTON CO HOLDING CO FRMLY		None	J	T	Buy	07/19/16	J		
321. HOME DEPOT INC		None	J	T	Buy	07/19/16	J		
322. HONEYWELL INTL INC		None	J	T	Buy	07/19/16	J		
323. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. MEDNAX INC		None	J	T	Buy	07/19/16	J		
325. MICROSOFT CORP		None	J	T	Buy	07/19/16	J		
326. OCCIDENTAL PETROLEUM CORP		None	J	T	Buy	07/19/16	J		
327. OCEANEERING INTL INC		None	J	T	Buy	07/19/16	J		
328. OMNICOM GROUP		None	J	T	Buy	07/19/16	J		
329. PARAMOUNT GROUP INC		None	J	T	Buy	07/19/16	J		
330. PROCTER AND GAMBLE CO		None	J	T	Buy	07/19/16	J		
331. SABRE CORP		None	J	T	Buy	07/19/16	J		
332. ST JUDE MEDICAL INC		None	J	T	Buy	07/19/16	J		
333. TOTAL SYS SVCS INC		None	J	T	Buy	07/19/16	J		
334. TUPPERWARE BRANDS CORP		None	J	T	Buy	07/19/16	J		
335. UMPQUA HOLDINGS CORP		None	J	T	Buy	07/19/16	J		
336. UNION PACIFIC CORP		None	J	T	Buy	07/19/16	J		
337. ZIMMER BIOMET HLDGS INC		None	J	T	Buy	07/19/16	J		
338. AT&T INC		None	J	T	Buy	07/20/16	J		
339. ADOBE SYS INC		None	J	T	Buy	07/20/16	J		
340. AMGEN INC		None	J	T	Buy	07/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. APPLE INC		None	J	T	Buy	08/31/16	J		
342. BANK AMER CORP		None	J	T	Buy	07/20/16	J		
343. CITIGROUP INC		None	J	T	Buy	07/20/16	J		
344. CONOCOPHILLIPS		None	J	T	Buy	07/20/16	J		
345. GILEAD SCIENCES INC		None	J	T	Buy	07/20/16	J		
346. JPMORGAN CHASE & CO		None	J	T	Buy	07/20/16	J		
347. MORGAN STANLEY MTN		None	J	T	Buy	12/19/16	J		
348. ORACLE CORP		None	J	T	Buy	10/25/16	J		
349. UNITED STATES TREAS NTS		None	J	T	Buy	07/20/16	J		
350. UNITED STATES TREAS NTS		None	J	T	Buy	07/20/16	J		
351. UNITED STATES TREAS NTS		None	K	T	Buy	07/20/16	K		
352. UNITED STATES TREAS NTS		None	J	T	Buy	07/20/16	J		
353. WELLS FARGO CO MTN		None	J	T	Buy	10/25/16	J		
354. AT&T INC		None	J	T	Buy	07/20/16	J		
355. AMERICAN EXPRESS CR CORP		None	J	T	Buy	07/20/16	J		
356. ANHEUSER BUSCH INBEV FIN INC		None	J	T	Buy	07/20/16	J		
357. BERKESHIRE HATHAWAY INC		None	J	T	Buy	07/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. CITIGROUP INC		None	J	T	Buy	07/20/16	J		
359. FEDL HOME LN MTG CRP POOL		None	J	T	Buy	07/21/16	J		
360. FEDL HOME LN MTG CRP POOL		None	J	T	Buy	11/30/16	J		
361. FEDERAL HOME LN MTG CORP		None	J	T	Buy	07/21/16	J		
362. FEDL NATL MTG ASSN POOL		None	J	T	Buy	07/21/16	J		
363. FORD MTR CO		None	J	T	Buy	12/16/16	J		
364. GENERAL ELEC CAP CORP		None	J	T	Buy	07/20/16	J		
365. GOLDMAN SACHS GROUP INC		None	J	T	Buy	07/20/16	J		
366. HOME DEPOT INC		None	J	T	Buy	07/20/16	J		
367. JPMORGAN CHASE & CO		None	J	T	Buy	07/20/16	J		
368. LOCKHEED MARTIN CORP		None	J	T	Buy	07/20/16	J		
369. MORGAN STANLEY		None	J	T	Buy	07/20/16	J		
370. SHELL INTERNATIONAL FIN BV		None	J	T	Buy	07/20/16	J		
371. SOUTHERN CO		None	J	T	Buy	07/20/16	J		
372. UNITED STATES TREAS BDS		None	J	T	Buy	07/21/16	J		
373. UNITED STATES TREAS BDS		None	J	T	Buy	11/17/16	J		
374. UNITED STATES TREAS BDS		None	J	T	Buy	07/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

375. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
376. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
377. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
378. UNITED STATES TREAS NTS		None	J	T	Buy	07/28/16	J		
379. UNITED STATES TREAS NTS		None	J	T	Buy	08/05/16	J		
380. UNITED STATES TREAS NTS		None	J	T	Buy	08/29/16	J		
381. UNITED STATES TREAS NTS		None	J	T	Buy	09/29/16	J		
382. UNITED STATES TREAS NTS		None	J	T	Buy	10/26/16	J		
383. UNITED STATES TREAS NTS		None	J	T	Buy	08/05/16	J		
384. UNITED STATES TREAS NTS		None	J	T	Buy	08/22/16	J		
385. UNITED STATES TREAS NTS		None	J	T	Buy	10/26/16	J		
386. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
387. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
388. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
389. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
390. UNITED STATES TREAS NTS		None	J	T	Buy	07/21/16	J		
391. UNITED STATES TREAS NTS		None	J	T	Buy	12/28/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

392. VERIZON COMMUNICATIONS INC		None	J	T	Buy	07/20/16	J		
393. VISA INC		None	J	T	Buy	07/20/16	J		
394. WELLS FARGO CI		None	J	T	Buy	07/20/16	J		
395. NXP SEMICONDUCTORS		None	J	T	Buy	07/19/16	J		
396. BROADCOM LIMITED		None	J	T	Buy	07/19/16	J		
397. ACUITY BRANDS INC		None	J	T	Buy	07/19/16	J		
398. AGILENT TECH INC		None	J	T	Buy	07/19/16	J		
399. ALIGN TECHNOLOGY		None	J	T	Buy	07/19/16	J		
400. ALLIANCE DATA SYS CORP		None	J	T	Buy	07/19/16	J		
401. ALPHABET INC		None	J	T	Buy	07/19/16	J		
402. AMAZON		None	J	T	Buy	07/19/16	J		
403. AMERISOURCEBERGEN CORP		None	J	T	Buy	07/19/16	J		
404. AMPHENOL CORP		None	J	T	Buy	07/19/16	J		
405. APPLE INC		None	J	T	Buy	07/19/16	J		
406. CELGENE CORP		None	J	T	Buy	07/19/16	J		
407. CINTAS CORP		None	J	T	Buy	07/19/16	J		
408. COGNIZANT TECH SOLUTIONS CORP		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

409. CONSTELLATION BRANDS INC		None	J	T	Buy	07/19/16	J		
410. ELECTRONICS ARTS		None	J	T	Buy	07/19/16	J		
411. FACEBOOK INC		None	J	T	Buy	07/19/16	J		
412. FISERV INC		None	J	T	Buy	07/19/16	J		
413. FLEETCOR TECHNOLOGIES INC		None	J	T	Buy	07/19/16	J		
414. FLOWSERVE CORP		None	J	T	Buy	07/19/16	J		
415. FOOT LOCKER INC		None	J	T	Buy	07/19/16	J		
416. GLOBAL PMTS INC		None	J	T	Buy	07/19/16	J		
417. HANESBRANDS INC		None	J	T	Buy	07/19/16	J		
418. HOME DEPOT INC		None	J	T	Buy	07/19/16	J		
419. ESTEE LAUDER COMPANIES INC		None	J	T	Buy	07/19/16	J		
420. MASTERCARD INCORPORATED		None	J	T	Buy	07/19/16	J		
421. METTLER-TOLEDO INTL		None	J	T	Buy	07/19/16	J		
422. MIDDLEBY CORP		None	J	T	Buy	07/19/16	J		
423. MONSTER BEVERAGE CORP		None	J	T	Buy	07/19/16	J		
424. NCR CORP		None	J	T	Buy	07/19/16	J		
425. NETFLIX COM INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

426. NIKE INC		None	J	T	Buy	07/19/16	J		
427. NORDSON CORP		None	J	T	Buy	10/07/16	J		
428. SHERWIN WILLIAMS CO		None	J	T	Buy	07/19/16	J		
429. SPECTRUM BRANDS HLDGS INC		None	J	T	Buy	07/19/16	J		
430. STARBUCKS CORP		None	J	T	Buy	07/19/16	J		
431. TRIMBLE INC		None	J	T	Buy	07/19/16	J		
432. UNITED RENTALS INC		None	J	T	Buy	07/19/16	J		
433. VERISK ANALYTICS INC		None	J	T	Buy	07/19/16	J		
434. WATERS CORP		None	J	T	Buy	07/19/16	J		
435. WILLIAMS SONOMA INC		None	J	T	Buy	07/19/16	J		
436. ZOETIS INC		None	J	T	Buy	07/19/16	J		
437. AFLAC INC		None	J	T	Buy	07/19/16	J		
438. AT&T INC		None	J	T	Buy	07/19/16	J		
439. AETNA INC		None	J	T	Buy	07/19/16	J		
440. AIR PRODUCTS & CHEM		None	J	T	Buy	07/19/16	J		
441. ALPHABET INC		None	J	T	Buy	07/19/16	J		
442. AMGEN INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. APPLE INC		None	J	T	Buy	07/19/16	J		
444. AVERY DENNISON CORP		None	J	T	Buy	07/19/16	J		
445. CDW CORP COM		None	J	T	Buy	07/19/16	J		
446. CVS HEALTH CORP		None	J	T	Buy	07/19/16	J		
447. CENTENE CORP		None	J	T	Buy	07/19/16	J		
448. CHEVRON CORP		None	J	T	Buy	07/19/16	J		
449. COMCAST CORP		None	J	T	Buy	07/19/16	J		
450. DST SYSTEMS INC		None	J	T	Buy	07/19/16	J		
451. DELTA AIR LINES INC		None	J	T	Buy	07/19/16	J		
452. DOW CHEMICAL CO		None	J	T	Buy	07/19/16	J		
453. ELECTRONIC ARTS		None	J	T	Buy	07/19/16	J		
454. ENVISION HEALTHCARE CORP		None	J	T	Buy	07/19/16	J		
455. EXXON MOBIL CORP		None	J	T	Buy	07/19/16	J		
456. FOOT LOCKER INC		None	J	T	Buy	07/19/16	J		
457. GENERAL MTRS CO		None	J	T	Buy	07/19/16	J		
458. GILEAD SCIENCES INC		None	J	T	Buy	07/19/16	J		
459. GOLDMAN SACHS GROUP		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. HCA HLDGS INC		None	J	T	Buy	07/19/16	J		
461. HOME DEPOT INC		None	J	T	Buy	07/19/16	J		
462. HUNTINGTON BANCSHARES INC		None	J	T	Buy	07/19/16	J		
463. INTEL CORP		None	J	T	Buy	03/01/16	J		
464. JPMORGAN CHASE & CO		None	J	T	Buy	07/19/16	J		
465. JABIL CIRCUIT INC		None	J	T	Buy	07/19/16	J		~
466. KROGER CO		None	J	T	Buy	07/19/16	J		
467. LEAR CORP		None	J	T	Buy	07/19/16	J		
468. LINCOLN NATIONAL CORP		None	J	T	Buy	07/19/16	J		
469. LINEAR TECHNOLOGY CORP		None	J	T	Buy	07/19/16	J		
470. LOWES COS INC		None	J	T	Buy	07/19/16	J		
471. MCKESSON CORP		None	J	T	Buy	07/19/16	J		
472. MERCK & CO		None	J	T	Buy	07/19/16	J		
473. MICROSOFT CORP		None	J	T	Buy	07/19/16	J		
474. NORTHROP GRUMMAN CORP HOLDING CO		None	J	T	Buy	07/19/16	J		
475. NVIDIA CORP		None	J	T	Buy	07/19/16	J		
476. PINNACLE FOODS INC		None	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. PROLOGIS INC		None	J	T	Buy	10/24/16	J		
478. PRUDENTIAL FINL INC		None	J	T	Buy	07/19/16	J		
479. SOUTHWEST AIRLNS CO		None	J	T	Buy	07/19/16	J		
480. SPIRIT AEROSYSTEMS HLDGS INC		None	J	T	Buy	07/19/16	J		
481. STANLEY BLACK & DECKER		None	J	T	Buy	10/24/16	J		
482. SUNTRUST BANKS INC		None	J	T	Buy	07/19/16	J		
483. SYSCO CORP		None	J	T	Buy	07/19/16	J		
484. TJX COS INC		None	J	T	Buy	10/24/16	J		
485. UNITEDHEALTH GROUP		None	J	T	Buy	07/19/16	J		
486. VALERO ENERGY CORP		None	J	T	Buy	07/19/16	J		
487. ACCENTURE PLC CLS A		None	J	T	Buy	10/26/16	J		
488. AFLAC INC		None	J	T	Buy	05/27/16	J		
489. AETNA INC		None	J	T	Buy	05/27/16	J		
490. AIR PRODUCTS & CHEM		None	J	T	Buy	05/27/16	J		
491. ALPHABET INC CAP STK CL C		None	J	T	Buy	05/27/16	J		
492. AMGEN INC		None	J	T	Buy	05/27/16	J		
493. APPLE INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. AVERY DENNISON CORP		None	J	T	Buy	05/27/16	J		
495. CDW CORP COM		None	J	T	Buy	05/27/16	J		
496. CVS HEALTH CORP		None	J	T	Buy	05/27/16	J		
497. CENTENE CORP DEL		None	J	T	Buy	05/27/16	J		
498. CHEVRON XOEI		None	J	T	Buy	06/16/16	J		
499. COMCAST CORP		None	J	T	Buy	05/27/16	J		
500. DELTA AIR LINES INC		None	J	T	Buy	05/27/16	J		
501. DOW CHEMICAL CO		None	J	T	Buy	05/27/16	J		
502. ELECTRONIC ARTS		None	J	T	Buy	05/27/16	J		
503. EMCOR GROUP INC		None	J	T	Buy	12/07/16	J		
504. ENVISION HEALTHCARE CORP		None	J	T	Buy	05/27/16	J		
505. EXXON MOBIL CORP		None	J	T	Buy	05/27/16	J		
506. FOOT LOCKER INC		None	J	T	Buy	05/27/16	J		
507. GENERAL MTRS CO		None	J	T	Buy	05/27/16	J		
508. GILEAD SCIENCES INC		None	J	T	Buy	05/27/16	J		
509. GOLDMAN SACHS GROUP INC		None	J	T	Buy	05/27/16	J		
510. HCA HLDGS INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. HOME DEPOT INC		None	J	T	Buy	05/27/16	J		
512. HUNTINGTON BANCSHARES INC		None	J	T	Buy	05/27/16	J		
513. INTEL CORP		None	J	T	Buy	05/27/16	J		
514. JPMORGAN CHASE & CO		None	J	T	Buy	05/27/16	J		
515. JABIL CIRCUIT INC		None	J	T	Buy	05/27/16	J		
516. KROGER CO COM		None	J	T	Buy	05/27/16	J		
517. LEAR CORP		None	J	T	Buy	05/27/16	J		
518. LINCOLN NATIONAL CORP		None	J	T	Buy	05/27/16	J		
519. LINEAR TECHNOLOGY CORP		None	J	T	Buy	05/27/16	J		
520. LOWES COS INC		None	J	T	Buy	05/27/16	J		
521. MCKESSON CORP		None	J	T	Buy	05/27/16	J		
522. MERCK & CO INC		None	J	T	Buy	05/27/16	J		
523. MICROSOFT CORP		None	J	T	Buy	05/27/16	J		
524. NORTHROP GRUMMAN CORP HOLDING CO		None	J	T	Buy	05/27/16	J		
525. NVIDIA CORP		None	J	T	Buy	05/27/16	J		
526. PINNACLE FOODS INC		None	J	T	Buy	05/27/16	J		
527. PROLOGIS INC		None	J	T	Buy	09/12/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. PRUDENTIAL FINL INC		None	J	T	Buy	05/27/16	J		
529. SPIRIT AEROSYSTEMS HLDGS INC		None	J	T	Buy	05/27/16	J		
530. STANLEY BLACK & /DECKER INC		None	J	T	Buy	09/12/16	J		
531. SUNTRUST BANKS INC		None	J	T	Buy	05/27/16	J		
532. SYSCO CORP		None	J	T	Buy	06/16/16	J		
533. TJX COS INC		None	J	T	Buy	09/12/16	J		
534. UNITEDHEALTH GROUP		None	J	T	Buy	05/27/16	J		
535. VALERO ENERGY CORP		None	J	T	Buy	05/27/16	J		
536. WAL-MART STORES INC		None	J	T	Buy	10/26/16	J		
537. RENAISSANCERE HOLDINGS LTD		None	J	T	Buy	05/27/16	J		
538. ACTIVISION BLIZZARD INC		None	J	T	Buy	05/27/16	J		
539. AGILENT TECH INC		None	J	T	Buy	05/27/16	J		
540. AKAMAI TECHNOLOGIES INC		None	J	T	Buy	05/27/16	J		
541. ALLEGHENY TECH INC		None	J	T	Buy	05/27/16	J		
542. AMERICAN TOWER CORPORATION		None	J	T	Buy	05/27/16	J		
543. AMERISOURCEBERGEN CORP		None	J	T	Buy	05/27/16	J		
544. ANSYS INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. AUTODESK INC		None	J	T	Buy	05/27/16	J		
546. BARD C R INC		None	J	T	Buy	05/27/16	J		
547. BIO RAD LABORATORIES INC		None	J	T	Buy	05/27/16	J		
548. BLACK KNIGHT FINL ACXA INC		None	J	T	Buy	08/25/16	J		
549. BORGWARNER INC		None	J	T	Buy	05/27/16	J		
550. BOSTON PROPERTIES INC		None	J	T	Buy	05/27/16	J		
551. CBRE GROUP INC		None	J	T	Buy	05/27/16	J		
552. C S X CORP		None	J	T	Buy	05/27/16	J		
553. CABOT CORP		None	J	T	Buy	05/27/16	J		
554. CIMAREX ENERGY CO		None	J	T	Buy	05/27/16	J		
555. CONTINENTAL RES INC		None	J	T	Buy	05/27/16	J		
556. CUMMINS INC		None	J	T	Buy	05/27/16	J		
557. D R HORTON INC		None	J	T	Buy	05/27/16	J		
558. DARDEN RESTAURANTS		None	J	T	Buy	05/27/16	J		
559. DENTSPLY SIRONA INC		None	J	T	Buy	05/27/16	J		
560. DOVER CORP		None	J	T	Buy	05/27/16	J		
561. EASTMAN CHEM CO		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

562. EATON VANCE CORP		None	J	T	Buy	05/27/16	J		
563. ECHOSTAR CORP		None	J	T	Buy	05/27/16	J		
564. GATX CORP		None	J	T	Buy	05/27/16	J		
565. GENERAL DYNAMICS CRP		None	J	T	Buy	05/27/16	J		
566. GLOBAL PMTS INC		None	J	T	Buy	05/27/16	J		
567. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	05/27/16	J		
568. INTUIT		None	J	T	Buy	05/27/16	J		
569. KEYCORP		None	J	T	Buy	05/27/16	J		
570. KEYSIGHT TECHNOLOGIES INC		None	J	T	Buy	05/27/16	J		
571. LABORATORY CORP AMER HLDGS		None	J	T	Buy	05/27/16	J		
572. MASCO CORP		None	J	T	Buy	05/27/16	J		
573. MEDNAX INC		None	J	T	Buy	05/27/16	J		
574. NEWFIELD EXPLORATION		None	J	T	Buy	05/27/16	J		
575. PACKAGING CORP		None	J	T	Buy	05/27/16	J		
576. PROGRESSIVE CORP OHIO		None	J	T	Buy	05/27/16	J		
577. RAYMOND JAMES FINANCIAL INC		None	J	T	Buy	05/27/16	J		
578. REINSURANCE GROUP AMER INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

579. REPUBLIC SERVICEDS INC		None	J	T	Buy	05/27/16	J		
580. THE SCOTTS MIRACLE GRO COMPANY		None	J	T	Buy	05/27/16	J		
581. SEALED AIR CORP		None	J	T	Buy	05/27/16	J		
582. SNAP ON INC		None	J	T	Buy	05/27/16	J		
583. STIFEL FINL CORP		None	J	T	Buy	05/27/16	J		
584. SYNOPSIS INC		None	J	T	Buy	05/27/16	J		
585. SYNCHRONY FINANCIAL COM		None	J	T	Buy	05/27/16	J		
586. TJX COS INC		None	J	T	Buy	05/27/16	J		
587. TOTAL SYS SVCS INC		None	J	T	Buy	05/27/16	J		
588. VWR CORPORATION COM		None	J	T	Buy	08/15/16	J		
589. WEC ENERGY GROUP INC		None	J	T	Buy	05/27/16	J		
590. WOODWARD INC		None	J	T	Buy	05/27/16	J		
591. XILINX INC		None	J	T	Buy	05/27/16	J		
592. ALLERGEN PLC		None	J	T	Buy	05/27/16	J		
593. DELPHI AUTOMOTIVE PLC		None	J	T	Buy	05/27/16	J		
594. INGERSOLL-RAND PLC		None	J	T	Buy	05/27/16	J		
595. AT&T INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets)  Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

596. ADVANSIX INC		None	J	T	Buy	05/27/16	J		
597. AETNA INC		None	J	T	Buy	05/27/16	J		
598. APPLE INC		None	J	T	Buy	05/27/16	J		
599. BB&T CORP		None	J	T	Buy	05/27/16	J		
600. BANK OF THE OZARKS		None	J	T	Buy	07/14/16	J		
601. CAMDEN PPTY TR SH BEN INC		None	J	T	Buy	05/27/16	J		
602. CAPITAL ONE FINANACIAL CORP		None	J	T	Buy	05/27/16	J		
603. CARNIVAL CORP PAIRED CTF		None	J	T	Buy	07/12/16	J		
604. CISCO SYS INC		None	J	T	Buy	05/27/16	J		
605. CITIGROUP INC		None	J	T	Buy	05/27/16	J		
606. DARLING INGREDIENTS INC		None	J	T	Buy	05/27/16	J		
607. DELTA AIR LINES INC		None	J	T	Buy	05/27/16	J		
608. DEVON ENERGY CORP		None	J	T	Buy	05/27/16	J		
609. DU PONT E I DE NEMOURS & CO		None	J	T	Buy	05/27/16	J		
610. EOG RESOURCES INC		None	J	T	Buy	05/27/16	J		
611. HALLIBURTON CO HOLDING CO FRMLY		None	J	T	Buy	05/27/16	J		
612. HOME DEPOT INC		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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Name of Person Reporting

Norton, David C.

Date of Report

08/11/2017

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

613. HONEYWELL INTL INC		None	J	T	Buy	05/27/16	J		
614. INTERCONTINENTAL EXCHANGE INC		None	J	T	Buy	05/27/16	J		
615. MEDNAX INC		None	J	T	Buy	05/27/16	J		
616. MICROSOFT CORP		None	J	T	Buy	05/27/16	J		
617. OCCIDENTAL PETROLEUM CORP		None	J	T	Buy	05/27/16	J		
618. OCEANEERING INTL INC		None	J	T	Buy	05/27/16	J		
619. OMNICOM GROUP		None	J	T	Buy	05/27/16	J		
620. PARAMOUNT GROUP INC		None	J	T	Buy	05/27/16	J		
621. PROCTER AND GAMBLE		None	J	T	Buy	05/27/16	J		
622. SABRE CORP		None	J	T	Buy	05/27/16	J		
623. ST JUDE MEDICAL INC		None	J	T	Buy	05/27/16	J		
624. TOTAL SYS SVCS INC		None	J	T	Buy	07/01/16	J		
625. TUPPERWARE BRANDS CORP		None	J	T	Buy	05/27/16	J		
626. UMPQUA HOLDINGS CORP		None	J	T	Buy	05/27/16	J		
627. UNION PACIFIC CORP		None	J	T	Buy	05/27/16	J		
628. ZIMMER BIOMET HLDGS INC		None	J	T	Buy	05/27/16	J		
629. ACCENTURE PLC CLS A		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

630. ICON PLC SHS		None	J	T	Buy	05/27/16	J		
631. LAZARD LTD		None	J	T	Buy	05/27/16	J		
632. CHUBB LIMITED		None	J	T	Buy	05/27/16	J		
633. CORE LABORATORIES NV		None	J	T	Buy	05/27/16	J		
634. YANDEX N.V.		None	J	T	Buy	05/27/16	J		
635. ADIDAS AG ADR EA REP 1/2 ORD		None	J	T	Buy	08/05/16	J		
636. AMBEV SA SPONSORED ADR		None	J	T	Buy	11/11/16	J		
637. CHR HANSEN HOLDING		None	J	T	Buy	05/27/16	J		
638. CSL LTD-SPONSORED ADR		None	J	T	Buy	05/27/16	J		
639. CANADIANPAC RY LTD		None	J	T	Buy	05/27/16	J		
640. COLOPLAST UNSP ADR		None	J	T	Buy	05/27/16	J		
641. COMPASS GROUP PLC		None	J	T	Buy	05/27/16	J		
642. CTRIP.COM INTERNATIONAL LTD		None	J	T	Buy	05/27/16	J		
643. DSV AS ADR		None	J	T	Buy	08/22/16	J		
644. ESSILOR INTL S A		None	J	T	Buy	09/20/16	J		
645. EXPERIAN PLC		None	J	T	Buy	05/27/16	J		
646. HDFC BANK LTD		None	J	T	Buy	05/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

Date of Report

08/11/2017

**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

647. HERMES INTL		None	J	T	Buy	09/20/16	J		
648. INDITEX		None	J	T	Buy	05/27/16	J		
649. LVMH MOET HENNESSY VUITTON SE		None	J	T	Buy	05/27/16	J		
650. LUXOTTICA GROUP		None	J	T	Buy	11/30/16	J		
651. NESTLE A		None	J	T	Buy	11/11/16	J		
652. NOVOZYMES A/S		None	J	T	Buy	05/27/16	J		
653. RECKITT BENCKISER GROUP		None	J	T	Buy	05/27/16	J		
654. SGS SA		None	J	T	Buy	05/27/16	J		
655. SHOPRITE HLDGS LTS		None	J	T	Buy	05/27/16	J		
656. SYSMEX CORP		None	J	T	Buy	05/27/16	J		
657. TAIWAN SEMICONDUCTOR MFG LTD		None	J	T	Buy	05/27/16	J		
658. TENCENT HOLDINGS LIMITED		None	J	T	Buy	11/11/16	J		
659. WAL-MART DE MEXICO SAB DE CV		None	J	T	Buy	05/27/16	J		
660. AT&T INC		None	J	T	Buy	06/01/16	J		
661. ADOBE SYS INC		None	J	T	Buy	08/11/16	J		
662. AMGEN INC		None	J	T	Buy	06/01/16	J		
663. APPLE INC		None	J	T	Buy	08/31/16	J		

1. Income Gain Codes: (See Columns B) and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

Date of Report

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. BANK AMER CORP		None	J	T	Buy	06/01/16	J		
665. CITIGROUP INC		None	J	T	Buy	06/24/16	J		
666. CONOPHILLIPS		None	J	T	Buy	06/01/16	J		
667. GILEAD SCIENCES INC		None	J	T	Buy	06/21/16	J		
668. JPMORGAN CHASE & CO		None	J	T	Buy	06/01/16	J		
669. MORGAN STANLEY MTN		None	J	T	Buy	12/19/16	J		
670. ORACLE CORP		None	J	T	Buy	10/25/16	J		
671. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
672. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
673. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
674. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
675. UNITED STATES TREAS NTS		None	J	T	Buy	06/01/16	J		
676. WELLS FARGO CO MTN		None	J	T	Buy	10/25/16	J		
677.									
678.									
679.									
680.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

Date of Report

08/11/2017

**VII. INVESTMENTS and TRUSTS** -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** — *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 91 is located in Transylvania County, North Carolina, USA.
2. Trust # 1 was liquidated 01/20/16. All assets listed on lines 126-130 were sold. The only asset held was the Lain Farm listed on line 131.  

Lain Farm	value cost R	Annual Rental Income E	(This property was purchased in 1981 and is located outside the city limits in Johnson County, Indiana, USA. Life Valuemark II
-----------	--------------	------------------------	--
3. In May of 2016 assets were moved from Smith-Barney to Affluence Financial Group.
4. Article 5 Trust consist of lines: 13,15,26,27,34,39,47,49,52,55,59,72,91,94,105 & 1231.
5. Article 6 Trust consist of lines: 8,9,10,12,16,17,18,29,30,36,38,42,44,46,54,58,60,65,66,69,71,74,75,76,78,84,85,88,89,90,93,97,98,100,101,112,114,116,118, & 122.
6. Lines 31-33 now listed as Fidelity Money Market since transfer of assets to Affluence Financial Group. Formerly listed as Citibank Deposit.
7. Part VII, line 100 on 2015 report listed "Safeway Inc." however in 2016 Safeway Inc. was erroneously omitted. It should have stated that it was left off the report due to the fact that it had a value of "zero" and deemed worthless.
8. Lines 31-33, Fidelity Money Market are merely a depository to hold cash. Per broker cash from these accounts are used if necessary for purchases and surplus funds from trades are deposited in these accounts.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David C. Norton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

AO 10  
Rev. 1/2019**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2017**Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting</b> (last name, first, middle initial)  Norton, David C.	<b>2. Court or Organization</b>  U.S. District Court	<b>3. Date of Report</b>  10/24/2019
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. District Court Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input checked="" type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2017 to 12/31/2017
<b>7. Chambers or Office Address</b>  P.O. Box 835 Charleston, SC 29402		
<p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p>		

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Article 5 Trust
2. Trustee	Article 6 Trust
3.	
4.	
5.	

**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Web MD - Editorial Stipend
2. 2017	Private Consulting - Pathology
3. 2017	Medical Director, Life Point of SC
4. 2017	Newberry Pathology Associates - Autopsy Services
5. 2017	Springer Publishing - Book Royalties
6.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Professional activity sponsored by BAR Association	01/09/17-01/20/17	Greenville, SC	Activity of professional association	Transportation, lodging & food.
2.				Transportation & food.
3.				
4.				
5.				



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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. tAES Corp		None	J	T					
2. AT & T #1	A	Dividend	J	T					
3. BP PLC ADR	B	Dividend	K	T					
4. Bristol Myers Squibb	A	Dividend	J	T					
5. Chevron Corp #1	A	Dividend	K	T					
6. Comcast Corp CL A #1	A	Dividend	J	T					
7. Walt Disney Co #1	A	Dividend	J	T					
8. Dover	A	Dividend	K	T					
9. Dow Chemical #1	A	Dividend	J	T					
10. Eli Lilly & Co #1	A	Dividend	J	T					
11. Emerson Electric	A	Dividend	K	T					
12. Energy Transfer Partners LP #1		None	K	T					
13. General Electric Co #1	B	Dividend	K	T					
14. Knowles Corporation		None	J	T					
15. 3M Company A	A	Dividend	J	T					
16. Pfizer Inc #1	A	Dividend	J	T					
17. Proctor & Gamble #1	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

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18. Scana Corp	A	Dividend	M	T					
19. South Street Corp Com # 1	B	Dividend	N	T					
20. Suntrust Banks Inc	C	Dividend	M	T					
21. Synovus Financial Corp	A	Dividend	J	T					
22. Zimmer Biomet Hldgs Inc	A	Dividend	J	T					
23. Abbott Laboratories		None	J	T	Buy	11/16/17	J		
24. Adobe Sys Inc	A	Dividend	J	T					
25. Advansix Inc Com		None			Sold	03/03/17	J	A	
26. Aetna Inc	A	Dividend	J	T					
27. Agilent Tech Inc	A	Distribution	J	T					
28. Alcoa Inc	A	Dividend	J	T					
29. Allergan PLC Com	A	Dividend	J	T					
30. Alphabet Inc	A	Distribution	J	T					
31. Altria Group	A	Dividend	J	T					
32. American Express Co	A	Dividend	J	T					
33. American Tower Corp	A	Dividend	J	T					
34. Ametek Inc	A	Dividend	J	T	Buy	01/20/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** — *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Amgen Inc	A	Dividend	J	T					
36. Amgen Note		None			Sold	08/08/17	J	A	
37. Apple Inc	A	Dividend	J	T					
38. Aptiv PLC	A	Dividend	J	T	Buy	12/12/17	J		
39. Arconic Inc	A	Dividend	J	T					
40. AT&T Inc #2	A	Dividend	J	T					
41. Avery Dennison Corp	A	Dividend	J	T					
42. BB&T Corp	A	Dividend	J	T					
43. Bank of America #1	A	Dividend	J	T					
44. Bank of New York Mellon Corp	A	Dividend	J	T					
45. Bank of the Ozarks	A	Dividend	J	T					
46. Baxter Intl Inc		None	J	T	Buy	12/20/17	J		
47. Berkshire Hathaway Inc Cl B		None	K	T					
48. Blackhawk Network Hldgs Inc		None	J	T					
49. Boeing	A	Dividend	J	T	Buy	01/10/17	J		
50. Camden PPTY TR SH Ben Inc	A	Dividend	J	T					
51. Capital One Financial Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Carnival Corp Paired CTF	A	Dividend	J	T					
53. Celgene Corp	A	Dividend	J	T	Buy	06/20/17	J		
54. Cenerpoint Energy Inc		None	J	T	Buy	09/11/17	J		
55. Centene Corp Com	A	Dividend	J	T					
56. Charter Communications		None	J	T					
57. Chevron Corp #2	A	Dividend	J	T					
58. Cisco Sys Inc	A	Dividend	J	T					
59. Citigroup Inc	A	Dividend	J	T					
60. Citizens Financial Corp Inc	A	Dividend	J	T	Buy	01/19/17	J		
61. Conocophillips Note		None			Sold	08/01/17	J	A	
62. CWD Corp Com	A	Distribution	J	T					
63. Fidelity Money Market Account	A	Interest	J	T					
64. Fidelity Money Market Account	A	Interest	K	T					
65. Coca-Cola Co	A	Dividend	J	T					
66. Comcast Corp CL A #2	A	Dividend	J	T					
67. Cummins Inc		None	J	T	Buy	11/20/17	J		
68. Darling Ingredients Inc	A	Dividend	J	T					

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69. Delphi Automotive PLC Com	A	Dividend	J	T					
70. Delta Airlines Inc	A	Dividend	J	T					
71. Devon Energy Corp	A	Dividend	J	T					
72. Walt Disney Co #2	A	Dividend	K	T					
73. Dow Dupont Chemical #1	A	Dividend	J	T					
74. Duke Energy	A	Dividend	J	T					
75. Emcor Group Inc		None	J	T					
76. EOG Resources Inc	A	Dividend	J	T					
77. Exxon Mobil Corp #1	A	Distribution	J	T					
78. Federal Natl Mtg Assn Pool		None	J	T					
79. General Electric #2	A	Distribution	J	T					
80. General Motors Co	A	Dividend	J	T					
81. Gilead Sciences Inc	A	Dividend	J	T					
82. Goldman Sachs Group Inc	A	Dividend	J	T					
83. Halyard Health Inc		None	J	T					
84. Hartford Financial Services Group	A	Dividend	J	T					
85. Hewlett Packard Inc Com	A	Dividend	J	T					

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86. Home Depot Inc	B	Dividend	J	T					
87. Honeywell Intl Inc	A	Dividend	K	T					
88. Ingersoll Rand PLC	A	Dividend	J	T	Buy	03/03/17	J		
89. Intel	A	Dividend	J	T					
90. Intercontinental Exchange Inc	A	Dividend	J	T	Buy	08/03/17	J		
91. Jabil Circuit Inc	A	Dividend	J	T					
92. Johnson & Johnson	A	Dividend	J	T					
93. St. Jude Medical Inc		None			Sold	01/09/17	J	A	
94. Kimberly Clark	A	Dividend	J	T					
95. Kraft-Heinz Foods Inc CL A	A	Dividend	J	T					
96. Kroger		None			Sold	01/19/17	J	A	
97. Laboratory Corp	A	Dividend	J	T					
98. Lear Corp	A	Dividend	J	T					
99. Lincoln National Corp	A	Dividend	K	T					
100. Lowes Cos Inc	A	Dividend	J	T					
101. McDonalds Corp	A	Dividend	K	T					
102. Merck & Co Inc New	A	Dividend	J	T					

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103. Microsoft Corp	A	Dividend	J	T					
104. Mondelez Intl Inc Com		None	J	T					
105. JP Morgan Chase & Co	A	Dividend	K	T					
106. Morgan Stanley		None			Sold	04/26/17	J	A	
107. Morgan Stanley Note		None			Sold	11/17/17	J	A	
108. Netapp Inc	A	Distribution	J	T	Buy	01/19/17	J		
109. Nokia Corp	A	Dividend	J	T					
110. Northrop Grumman Corp Holding Co	A	Dividend	J	T					
111. Nvidia Corp	A	Dividend	J	T					
112. Occidental Petroleum Corp	A	Dividend	J	T					
113. Oceaneering Intl Inc	A	Dividend	J	T	Buy	08/03/17	J		
114. Omnicom Group	A	Dividend	J	T					
115. On Semiconductor		None	J	T	Buy	11/20/17	J		
116. Oracle Corp	A	Distribution	J	T					
117. Paramount Group Inc	A	Dividend	J	T	Buy	08/03/17	J		
118. Pepsico Inc	A	Dividend	J	T					
119. Pfizer Inc #2	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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120. Phillip Morris Intl Inc	A	Dividend	J	T					
121. Proctor & Gamble #2	A	Dividend	K	T					
122. Prologis Inc	A	Dividend	J	T					
123. Prudential Finl Inc	A	Dividend	J	T					
124. Raymond James Financial Inc		None	J	T	Buy	11/20/17	J		
125. Royal Dutch Shell PLC Spon ADR B	A	Dividend	J	T	Buy	01/23/17	J		
126. Sabre Corp	A	Dividend	J	T	Buy	08/03/17	J		
127. Stanley Black & Decker Inc	A	Dividend	J	T					
128. Sysco Corp	A	Dividend	J	T					
129. Target Corp Com	A	Dividend	J	T	Buy	09/11/17	J		
130. Texas Instruments Inc	A	Dividend	J	T					
131. Time Inc New Com	A	Dividend	J	T					
132. Time Warner Inc New	A	Dividend	J	T	Buy	06/08/17	J		
133. Toro Co	A	Dividend	J	T					
134. Total System Services Inc	A	Distribution	J	T					
135. Travelers Companies Inc	A	Dividend	K	T					
136. Tupperware Brands Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				



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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Tyson Foods Inc		None	J	T	Buy	12/20/17	J		
138. Umpqua Holdings Corp	A	Dividend	J	T					
139. Union Pacific Corp	A	Dividend	J	T					
140. United Health Group	A	Dividend	J	T					
141. Valero Energy Group	A	Dividend	J	T					
142. Verizon Communications #1	A	Dividend	J	T					
143. VM Waare Inc	A	Dividend	J	T	Buy	03/08/17	J		
144. Wal Mart Stores Inc Com	A	Distribution	J	T					
145. Wells Fargo & Co #1		None			Sold	01/13/17	J	A	
146. Zimmer Biomet Hldgs Inc	A	Dividend	J	T					
147. Bank of America #2	A	Int./Div.	K	T					
148. Chemours Co	A	Dividend	J	T					
149. Chevron Corp #3	A	Dividend	K	T					
150. Citigroup Inc	A	Dividend	J	T					
151. CSX Corp	A	Dividend	L	T					
152. Dow Dupont El De Nemours & Co	A	Dividend	K	T					
153. Electronic Arts		None			Sold	12/20/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. Eli Lilly & Co #2	A	Dividend	L	T					
155. Energy Transfer Partners LP #2	A	Dividend	K	T					
156. Envision Healthcare		None			Sold	03/08/17	J	A	
157. Exxon Mobil Corp #2	A	Dividend	K	T					
158. FootLocker		None			Sold	09/11/17	J	A	
159. General Electric Co	A	Dividend	J	T					
160. Goldman Sachs Group		None			Sold	06/08/17	J	A	
161. IBM	B	Dividend	M	T					
162. Ishares Core S&P Mid Cap ETF	A	Dividend	J	T	Buy	01/19/17	J		
163. Ishares Core S&P Small Cap ETF	A	Dividend	J	T	Buy	01/19/17	J		
164. Ishares Core S&P 500 ETF	A	Dividend	K	T	Buy	01/19/17	K		
165. Ishares Edge MSCI USA Momentum Factor ETF		None	J	T	Buy	07/10/17	J		
166. Ishares Edge MSCI Min Vol USA ETF		None	J	T	Buy	07/10/17	J		
167. Ishares Inc Core MSCI Emerging Mkts ETF	A	Dividend	K	T	Buy	01/19/17	K		
168. Ishares S&P 500 Value ETF		None	J	T	Buy	10/13/17	J		
169. Ishares S&P 500 Growth ETF	A	Dividend	J	T	Buy	01/19/17	J		
170. Ishares Trust Core MSCI EAFE ETF	A	Dividend	J	T	Buy	01/19/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Ishares Trust Currency Hedged MSC AEF ETF	A	Dividend	K	T	Buy	01/19/17	K		
172. Ishares IBoxx Investment Grade Corporate	A	Dividend	J	T	Buy	01/19/17	J		
173. Ishares TR Barc;lays 7 10 YR	A	Dividend	J	T	Buy	01/19/17	J		
174. Ishares TR Intrmd Cr BD ETF	A	Dividend	J	T	Buy	01/19/17	J		
175. Ishares TR JP Mor Em Mk ETF	A	Dividend	J	T	Buy	01/19/17	J		
176. Ishares TR 20 YR TR BD ETF	A	Dividend	J	T	Buy	04/17/17	J		
177. Ishares US ETF Trust Short Maturity BD	A	Dividend	J	T	Buy	01/19/17	J		
178. McKesson		None			Sold	01/19/17	J	A	
179. Merchand Co		None			Sold	12/20/17	J	A	
180. Mednax		None			Sold	01/19/17	J	A	
181. Motorola Solutions Inc	A	Dividend	J	T					
182. Norfolk Southern	B	Dividend	M	T					
183. Pinnacle Foods		None			Sold	09/11/17	J	A	
184. South Street Corp Com #2	B	Dividend	L	T					
185. Wells Fargo & Co	A	Dividend	K	T					
186. At&T Inc #3	A	Dividend	K	T					
187. Abbvie Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Adobe Sys Inc Note	A	Interest	J	T					
189. Aetna Inc	A	Dividend	J	T					
190. Allergan PLC Com	A	Dividend	J	T					
191. Amtek Inc	A	Dividend	J	T	Buy	03/31/17	J		
192. Apple Inc Note	A	Interest	J	T					
193. Aptiv PLC	A	Dividend	J	T					
194. BB&T Corp	A	Dividend	J	T					
195. Bank of the Ozarks	A	Dividend	J	T					
196. Bank of America Corp	A	Dividend	J	T					
197. Boeing	A	Dividend	J	T	Buy	01/13/17	J		
198. Camden PPTY TR SH BEN Int	A	Dividend	J	T					
199. Capital One Paired CTF	A	Dividend	J	T					
200. Carnival Corp Paired CTF	A	Dividend	J	T					
201. Celgene Corp	A	Dividend	J	T	Buy	06/20/17	J		
202. Chevron Corp #4	A	Dividend	J	T					
203. Cisco Sys Inc	A	Dividend	J	T					
204. Citigroup Inc Note	A	Interest	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Citigroup Inc Com New	A	Dividend	J	T					
206. Coca-Cola Co	A	Dividend	K	T					
207. Darling Ingredients Inc	A	Dividend	J	T					
208. Delphi Automotive PLC Com		None			Sold	05/22/17	J	A	
209. Delta Air Lines Del Com New	A	Dividend	J	T					
210. Devon Energy Corp	A	Dividend	J	T					
211. Dow Dupont Inc	A	Dividend	J	T					
212. EOG Resources Inc	A	Dividend	J	T					
213. Ford		None			Sold	04/18/17	J	A	
214. General Electric #4	A	Distribution	J	T					
215. General Motors	A	Dividend	K	T	Buy	06/27/17	J		
216. Haliburton Co Hldg	A	Dividend	J	T					
217. Home Depot Inc com	A	Dividend	J	T					
218. IBM	A	Dividend	J	T					
219. Ingersoll Rand PLC SHS	A	Int./Div.	J	T					
220. Intercontinental Exchange Inc	A	Dividend	J	T					
221. JNL Disciplined Moderate Growth	A	Dividend	L	T					

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222. JNL American Funds Balanced	A	Dividend	L	T					
223. JNL American Funds Global Bond	A	Dividend	J	T					
224. JNL Invesco Small Cap Growth	A	Dividend	L	T					
225. JNL JP Morgan Midcap Growth	A	Dividend	L	T					
226. JNL Mellon Capital Consumer Brands Sector	A	Dividend	L	T					
227. JNL Mellon Capital Healthcare Sector	A	Dividend	L	T					
228. JNL Mellon Capital Nasdaq 100	A	Dividend	L	T					
229. JNL S&P 4	A	Dividend	L	T					
230. JNL S&P Competitive Advantage	A	Dividend	L	T					
231. JNL T Rowe Price Established Growth	A	Dividend	L	T					
232. Laboratory Corp Amer Hlds		None	J	T	Buy	07/25/17	J		
233. Mednax Inc	A	Dividend	J	T					
234. Microsoft Corp	A	Dividend	J	T					
235. JP Morgan Chase & Co Note	A	Int./Div.	J	T					
236. Occidental Petroleum Corp	A	Dividend	J	T					
237. Oceaneering Intl Inc	A	Dividend	J	T					
238. Omnicom Group	A	Dividend	J	T					

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239. Oracle Corp Note	A	Interest	J	T					
240. Paramount Group Inc	A	Dividend	J	T					
241. Pfizer Inc	A	Dividend	J	T					
242. Philip Morris Intl	A	Dividend	J	T					
243. Proctor & Gamble Co	A	Dividend	J	T					
244. Royal Dutch Shell PLC Spon ADR	A	Dividend	K	T					
245. Sabre Corp	A	Dividend	J	T					
246. Total Sys Svcs Inc	A	Dividend	J	T					
247. Umpqua Holdings Corp	A	Dividend	J	T					
248. Union Pacific Corp	A	Dividend	J	T					
249. US Treasury NTS Note	A	Interest	J	T					
250. US Treasury NTS Note	A	Interest	J	T					
251. US Treasury NTS Note	A	Interest	J	T					
252. US Treasury NTS Note	A	Interest	J	T					
253. US Treasury NTS Note	A	Interest	J	T					
254. US Treasury NTS Note	A	Interest	J	T					
255. US Treasury NTS Note	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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256. US Treasury NTS Note	A	Interest	J	T					
257. Verizon Communications #2	A	Dividend	J	T					
258. Wells Fargo Co MTN	A	Dividend	J	T					
259. Zimmer Biomet Hldgs Inc	A	Dividend	J	T					
260. AT&T Inc Com	A	Dividend	J	T					
261. AT&T Inc Note	A	Interest	J	T					
262. Acuity Brands Inc	A	Dividend	J	T					
263. Adobe Sys Inc Note	A	Interest	J	T					
264. Aetna Inc	A	Dividend	J	T					
265. AFLAC Inc	A	Dividend	J	T					
266. Air Products & chem	A	Dividend	J	T					
267. Align Technology	A	Dividend	J	T					
268. Allergen PLC	A	Dividend	J	T					
269. Alliance Data Sys Corp	A	Dividend	J	T					
270. Alphabet Inc Cp Stk	A	Dividend	J	T					
271. Amazon	A	Dividend	J	T					
272. American Express Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Ametek Inc	A	Dividend	J	T	Buy	01/27/17	J		
274. AmeisourceBergen Corp	A	Dividend	J	T					
275. Amgen Inc	A	Dividend	J	T					
276. Amphenol Corp	A	Dividend	J	T					
277. Analog Devices Inc	A	Dividend	J	T					
278. Anheuser Busch Bev Inc	A	Dividend	J	T	Buy	03/14/17	J		
279. Apple Inc	A	Dividend	J	T					
280. Apple Inc Note	A	Dividend	J	T					
281. Avery Dennison Corp	A	Dividend	J	T					
282. BB&T	A	Dividend	J	T					
283. Bank of America Corp Note	A	Interest	J	T					
284. Bank of the Ozarks	A	Dividend	J	T					
285. Berkshire Hathaway Inc	A	Dividend	J	T					
286. Broadcom Limited	A	Dividend	J	T					
287. Camden PPTY TR SH Ben Int	A	Dividend	J	T					
288. Capital One Financial Corp	A	Dividend	J	T					
289. Carnival Corp Paired CTF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Celgene Corp	A	Dividend	J	T					
291. Cetene Corp Del	A	Dividend	J	T					
292. Chevron	A	Dividend	J	T					
293. Cintas Corp	A	Dividend	J	T					
294. Cisco Sys Inc	A	Dividend	J	T					
295. Citigroup Inc	A	Dividend	J	T					
296. Cognizant Tech Solutions Corp	A	Dividend	J	T					
297. Comcast Corp	A	Dividend	J	T					
298. Constellation Brands Inc	A	Dividend	J	T					
299. CWD Corp Com	A	Dividend	J	T					
300. CVS Health Corp	A	Dividend	J	T					
301. Darling Ingredients Inc	A	Dividend	J	T					
302. Delta Air Lines Inc	A	Dividend	J	T					
303. Devon Energy Corp	A	Dividend	J	T					
304. Dick's Sporting Goods	A	Dividend	J	T	Buy	03/03/17	J		
305. Dow Dupont Inc	A	Dividend	J	T					
306. Electronic Arts	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Envision Health Care Corp	A	Dividend	J	T					
308. EOG Resources Inc	A	Dividend	J	T					
309. Exxon Mobil Corp	A	Dividend	J	T					
310. Facebook Inc	A	Dividend	J	T					
311. Fed Home LN Mtg	A	Dividend	J	T					
312. Fed Natl Mtg Assn Pool	A	Dividend	J	T					
313. Fiserv Inc	A	Dividend	J	T					
314. Fleetcor Technologies Inc	A	Dividend	J	T					
315. Flowserve Corp		None			Sold	03/09/17	J	A	
316. Foot Locker Inc	A	Dividend	J	T					
317. Ford Motor Co	A	Dividend	J	T					
318. General Electric Cap Corp	A	Dividend	J	T					
319. General Motors Co	A	Dividend	J	T					
320. Gilead Sciences Inc	A	Dividend	J	T					
321. Global Pmts Inc	A	Dividend	J	T					
322. Goldman Sachs Group Inc	A	Dividend	J	T					
323. Halliburton Co Hdlg Co	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. HCA Hldgs Inc	A	Dividend	J	T					
325. Hanes Brands Inc	A	Dividend	J	T					
326. Home Depot Inc	A	Dividend	J	T					
327. Huntington Bancshares Inc	A	Dividend	J	T					
328. Ingersoll Rand PLC SHS	A	Dividend	J	T					
329. Intel Corp	A	Dividend	J	T					
330. Intercontinental Exchange Inc	A	Dividend	J	T					
331. IPG Phototonics Corp	A	Dividend	J	T	Buy	01/31/17	J		
332. Jabil Circuit Inc	A	Dividend	J	T					
333. JNL Disciplined Moderate	A	Dividend	J	T					
334. JNL American Funds Balanced	A	Dividend	J	T					
335. JNL Invesco Small Cap Growth	A	Dividend	J	T					
336. JNL Morgan Midcap Growth	A	Dividend	J	T					
337. JNL Mellon Capital Consumer Brands Sector	A	Dividend	J	T					
338. JNL Mellon Capital Healthcare Sector	A	Dividend	J	T					
339. JNL Mellon Capital Nasdaq 100	A	Dividend	J	T					
340. JNL S&P 4	A	Dividend	J	T					

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. JNL S&P Competitive Advantage	A	Dividend	J	T					
342. JNL T Rowe Price Established Growth	A	Dividend	J	T					
343. St. Jude Medical Inc		None			Sold	01/09/17	J	A	
344. Kroger Co Com	A	Dividend	J	T					
345. Laboratory Corp Amer Hldgs	A	Dividend	J	T					
346. Estee Lauder Companies Inc	A	Dividend	J	T					
347. Lear Corp	A	Dividend	J	T					
348. Lincoln Natl Corp	A	Dividend	J	T					
349. Lockheed Martin Corp	A	Dividend	J	T					
350. Lowes Cos Inc	A	Dividend	J	T					
351. Mastercard Inc	A	Dividend	J	T					
352. McKesson Corp	A	Dividend	J	T					
353. Merck Corp	A	Dividend	J	T					
354. Mettler-Toledo Intl	A	Dividend	J	T					
355. Microsoft Corp	A	Dividend	J	T					
356. Middleby Corp	A	Dividend	J	T					
357. Monster Beverage Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. JP Morgan Chase & Co	A	Dividend	J	T					
359. Morgan Stanley Mtn	A	Dividend	J	T					
360. NCR Corp	A	Dividend	J	T					
361. Netflix Com Inc	A	Dividend	J	T					
362. Nike Inc	A	Dividend	J	T					
363. Nordson Corp	A	Dividend	J	T					
364. Northrop Gruman Corp Hldg Co	A	Dividend	J	T					
365. Nvidia Corp	A	Dividend	J	T					
366. Oceaneering Intl	A	Dividend	J	T					
367. Occidental Petroleum Corp	A	Dividend	J	T					
368. Omnicom Group	A	Dividend	J	T					
369. Oracle Corp	A	Dividend	J	T					
370. Paramount Group Inc	A	Dividend	J	T					
371. Pinnacle Foods Inc	A	Dividend	J	T					
372. Proctor & Gamble Co	A	Dividend	J	T					
373. Prologis Inc	A	Dividend	J	T					
374. Prudential Finl Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Sabre Corp	A	Dividend	J	T					
376. Shell International Fin	A	Dividend	J	T					
377. Sherwin Williams Co	A	Dividend	J	T					
378. Spectrum Brands Hldgs Inc	A	Dividend	J	T					
379. Spirit Aerosystems Hldgs Inc	A	Dividend	J	T					
380. Southern Co	A	Dividend	J	T					
381. Starbucks Corp	A	Dividend	J	T					
382. Suntrust Banks Inc	A	Dividend	J	T					
383. Sysco Corp	A	Dividend	J	T					
384. TXJ Cos Inc	A	Dividend	J	T					
385. Total Sys Svcs	A	Dividend	J	T					
386. Trimble Inc	A	Dividend	J	T					
387. Tupperware Brands Corp	A	Dividend	J	T					
388. Umpqua Hldgs Corp	A	Dividend	J	T					
389. Union Pacific Corp	A	Dividend	J	T					
390. United Health Group	A	Dividend	J	T					
391. United Rentals Inc	A	Dividend	J	T					

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392. US Treasury BDS	A	Interest	J	T					
393. US Treasury BDS	A	Interest	J	T					
394. US Treasury BDS	A	Interest	J	T					
395. US Treasury BDS	A	Interest	J	T					
396. US Treasury BDS	A	Interest	J	T					
397. US Treasury BDS	A	Interest	J	T					
398. US Treasury BDS	A	Interest	J	T					
399. US Treasury BDS	A	Interest	J	T					
400. US Treasury Notes	A	Interest	J	T					
401. US treasury Notes	A	Interest	J	T					
402. US Treasury Notes	A	Interest	J	T					
403. US Treasury Notes	A	Interest	J	T					
404. US Treasury Note 2.373'	A	Interest	J	T					
405. US Treasury Note .875	A	Interest	J	T					
406. US Treasury Note 1.5	A	Interest	J	T					
407. US Treasury Note 1.0	A	Interest	J	T					
408. US Treasury Note 1.375	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Valero Energy Corp	A	Dividend	J	T					
410. Verizon Communications Inc	A	Dividend	J	T					
411. Versik Analytics Inc	A	Dividend	J	T					
412. Visa Inc	A	Dividend	J	T					
413. Wabco Hlds Inc		None	J	T	Buy	10/30/17	J		
414. Waters Corp	A	Dividend	J	T					
415. Wells Fargo Co MTN	A	Dividend	J	T					
416. Williams Sonoma Inc	A	Dividend	J	T					
417. Zimmer Biomet Hlds Inc	A	Dividend	J	T					
418. Zoetis Inc	A	Dividend	J	T					
419. Accenture PLC	A	Distribution	J	T					
420. Activision Blizzard Inc	A	Dividend	J	T					
421. Adidas AG ADR EA	A	Dividend	J	T					
422. Aetna Inc	A	Dividend	J	T					
423. AFLAC		None			Sold	01/09/17	J	A	
424. Agilent Tech Inc	A	Dividend	J	T					
425. Air Products & Chem		None			Sold	03/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Akamai Technologies Inc	A	Dividend	J	T					
427. Allegheny Tech Inc	A	Dividend	J	T					
428. Americant Tower Corporation	A	Dividend	J	T					
429. Amerisource Bergen Corp	A	Dividend	J	T					
430. Ametek Inc		None	J	T	Buy	07/20/17	J		
431. Ansys Inc	A	Dividend	J	T					
432. Aptiv Inc	A	Dividend	J	T	Buy	04/11/17	J		
433. Alphabet Inc	A	Dividend	J	T					
434. Apple Inc	A	Dividend	J	T					
435. Amgen Inc	A	Dividend	J	T					
436. Arrow Electronics Inc		None	J	T	Buy	08/21/17	J		
437. AT&T Inc	A	Dividend	J	T					
438. Autodesk Inc	A	Dividend	J	T					
439. Avery Dennison Corp	A	Dividend	J	T					
440. BB&T Corp	A	Dividend	J	T					
441. Bank of the Ozarks	A	Dividend	J	T					
442. Bard CR Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Bio Rad Laboratories Inc	A	Dividend	J	T					
444. Black Knight Finl ACXA Inc	A	Dividend	J	T					
445. BorgWarner Inc	A	Dividend	J	T					
446. Boston Properties Inc	A	Dividend	J	T					
447. CBRE Group Inc	A	Dividend	J	T					
448. CTRIP		None			Sold	11/09/17	J	A	
449. CDW Corp com	A	Dividend	J	T					
450. Cabot Corp	A	Dividend	J	T					
451. Camden PPTYTR SH Ben Inc	A	Dividend	J	T					
452. Canadian RY LTD	A	Dividend	J	T					
453. Capital One Finl Corp	A	Dividend	J	T					
454. Carnival Corp Paired CTF	A	Dividend	J	T					
455. Cetene Corp Del	A	Dividend	J	T					
456. Chevron Corp	A	Dividend	J	T					
457. CHR Hansen Hldg	A	Dividend	J	T					
458. Chubb Limited	A	Dividend	J	T					
459. Comcast Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. Cimarex Energy Co	A	Dividend	J	T					
461. Cisco Sys Inc	A	Dividend	J	T					
462. Citigroup Inc	A	Dividend	J	T					
463. Colorplast UNSP	A	Dividend	J	T					
464. Compass Group PLC	A	Dividend	J	T					
465. Continental Res Inc	A	Dividend	J	T					
466. Core Laboratories NV	A	Dividend	J	T					
467. CSL LTD Sposored ADR	A	Dividend	J	T					
468. CSX Corp	A	Dividend	J	T					
469. Cummins Inc	A	Dividend	J	T					
470. CVS		None			Sold	11/20/17	J	A	
471. Darden Restaurants	A	Dividend	J	T					
472. Darling Ingredients Inc	A	Dividend	J	T					
473. Delta Air Lines Inc	A	Dividend	J	T					
474. Dentsply Sirona Inc	A	Dividend	J	T					
475. Devon Energy Corp	A	Dividend	J	T					
476. Dover Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. D R Horton Inc	A	Dividend	J	T					
478. Dow Dupont Inc	A	Dividend	J	T					
479. DSV As Adr	A	Dividend	J	T					
480. Eastman Chem Co	A	Dividend	J	T					
481. Eaton Vance Corp	A	Dividend	J	T					
482. Echostar Corp	A	Dividend	J	T					
483. Emcor Group Inc	A	Dividend	J	T					
484. EOG Resources Inc	A	Dividend	J	T					
485. Essilor Intl S A	A	Dividend	J	T					
486. Experian PLC	A	Dividend	J	T					
487. Exxon Mobil Corp	A	Dividend	J	T					
488. Gatx Corp	A	Dividend	J	T					
489. Gilead Sciences Inc	A	Dividend	J	T					
490. General Dynamics Corp	A	Dividend	J	T					
491. General Motors Co	A	Dividend	J	T					
492. Global Pmts Inc	A	Dividend	J	T					
493. Halliburton Co Hldg	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. HCA Holdings		None			Sold	01/19/17	J	A	
495. HDFC Bank LTD	A	Dividend	J	T					
496. Hermes Intl	A	Dividend	J	T					
497. Home Depot Inc	A	Dividend	J	T					
498. Honeywell		None			Sold	03/03/17	J	A	
499. Huntington Bancshares		None			Sold	09/11/17	J	A	
500. Icon PLC SHS	A	Dividend	J	T					
501. Inditex	A	Dividend	J	T					
502. Intel Corp	A	Dividend	J	T					
503. Intercontinental Exchange Inc	A	Dividend	J	T					
504. Intuit	A	Dividend	J	T					
505. JP Morgan Chase & Co	A	Dividend	J	T					
506. Jabil Circuit Inc	A	Dividend	J	T					
507. St. Jude Medical Inc		None			Sold	01/10/17	J	A	
508. Keycorp	A	Dividend	J	T					
509. Keysight Technologies Inc	A	Dividend	J	T					
510. Lazard		None			Sold	05/10/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Lear Corp	A	Dividend	J	T					
512. Lincoln Natl Corp	A	Dividend	J	T					
513. Lowes Cos Inc	A	Dividend	J	T					
514. Luxotica Group	A	Dividend	J	T					
515. LVMH Moet Hennessy Vuitton SE	A	Dividend	J	T					
516. Masco Corp	A	Dividend	J	T					
517. Mednax Inc	A	Dividend	J	T					
518. Micosoft Corp	A	Dividend	J	T					
519. Nestle A	A	Dividend	J	T					
520. Newfield Exploration	A	Dividend	J	T					
521. Northrop Grumman Corp Hldg Co	A	Dividend	J	T					
522. Novozymes AS	A	Dividend	J	T					
523. Nvidia Corp	A	Dividend	J	T					
524. Packaging Corp	A	Dividend	J	T					
525. Proctor & Gamble	A	Dividend	J	T					
526. Progressive Corp Ohio	A	Dividend	J	T					
527. Prologis Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. Prudential Financial Inc	A	Dividend	J	T					
529. Raymond James Financial Inc	A	Dividend	J	T					
530. Reckitt Benckiser Group	A	Dividend	J	T					
531. Renaissance Holdings LTD	A	Dividend	J	T					
532. Reinsurance Group of America Inc	A	Dividend	J	T					
533. Republic Services Inc	A	Dividend	J	T					
534. Sabre Corp	A	Dividend	J	T					
535. The Scotts Miracle Gro Co	A	Dividend	J	T					
536. Sealed Air Corp	A	Dividend	J	T					
537. SGS SA		None			Sold	09/13/17	J	A	
538. Shoprite Holdings		None			Sold	01/25/17	J	A	
539. Snap On Inc	A	Dividend	J	T					
540. Spirit Aerosystems		None			Sold	06/08/17	J	A	
541. Stanley Black & Decker	A	Dividend	J	T					
542. Stifel Financial Corp	A	Dividend	J	T					
543. Suntrust Bank		None			Sold	03/08/17	J	A	
544. Synopsys Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. Synchrony Financial Com	A	Dividend	J	T					
546. Syemex Corp	A	Dividend	J	T					
547. Sysco Corp	A	Dividend	J	T					
548. Taiwan Semiconductors MFG LTD	A	Dividend	J	T					
549. Tencent Hldgs Limited	A	Dividend	J	T					
550. TJX Cos Inc	A	Dividend	J	T					
551. Total Sys Svcs Inc	A	Int./Div.	J	T					
552. United Health Group	A	Dividend	J	T					
553. Valero Energy Corp	A	Dividend	J	T					
554. Wal Mart Stores Inc	A	Dividend	J	T					
555. Wal Mart De Mexico SAB De CV	A	Dividend	J	T					
556. WEC Energy Group Inc	A	Dividend	J	T					
557. Woodward Inc	A	Dividend	J	T					
558. Xilinx Inc	A	Dividend	J	T					
559. South State Bank	C	Interest	O	T					
560. North Carolina Rental Property 100% Transylvania County, NC	A	Rent	P1	W					
561. Lain Farm, Johnson County, Indiana	E	Rent	P1	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

562. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
563. South Carolina State Retirement Plan	B	Dividend	M	T					
564. MassMutual Life Ins (MML Equity Fund Portfolio)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	



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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 560 is located in Transylvania County, North Carolina, USA.

2. Lain Farm listed on line 561.

Lain Farm value cost R Annual Rental Income E (This property was purchased in 1981 and is located outside the city limits in Johnson County, Indiana, USA. Life Valuemark II

3. Article 5 Trust consist of lines: 147-185.

4. Article 6 Trust consist of lines: 23-146.

5. Wal Mart Stores purchased 10/26/2016 erroneously omitted from 2016 report.

6. Reply to questions by the Committee on Financial Disclosure

Assets listed on the 2017 Report not listed on the 2016 Report:

Line 97 - Laboratory Corp. see line 597 of 2016

Line 107 - Morgan Stanley Note see line 170 of 2016

Line 133 - Toro Co. purchased 06/18/2017 ( failed to list as purchase)

Line 179 - Merchand Co. purchase date undetermined.

Line 193 - Aptiv PLC purchase date undetermined

Line 206 - Coca-Cola see line 36 of 2016 report

Line 214 - General Electric see line 364 of 2016 report, purchased 07/20/2016.

Line 218 - IBM see line 72

Line 263 - Adobe Sys Inc Note see line 162 of 2016 report

Line 264 - Aetna Inc. see line 306 of 2016 report

Line 280 - Apple Inc Note see line 164 of 2016 report

Line 283 - Bank of America Note, per broker held not reported

Line 291 - Cetene Corp Del see line 497 of 2016 report

Line 299 - CWD Corp Com listed on line 445 of 2016 report

Line 269 - Oracle Corp listed on line 670 of 2016 report

Line 404-408 US Treasury Note listed on lines 671-675 of 2016 report

Line 428 - American Tower Corp unable to determine purchase date

Line 439 - Avery Dennison Corp listed on line 444 of 2016 report

Line 440 - BB&amp;T Corp listed on line 272 of 2016 report

Line 441 - Bank of the Ozarks listed on line 273

Line 451 - Camden PPTYTR SH Ban Inc listed on line 274 of 2016 report

Line 453 - Capital One Financial Corp listed on line 228 of 2016 report

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Line 454 - Carnival Corp Paired CTF listed on line 276 of 2016 report

Line 472 - Darlin Ingredients Inc listed on line 279 of 2016 report

Line 475 - Devon Energy Corp listed on line 281 of 2016 report

Line 483 - Emcor Group Inc listed on line 503 of 2016 report

Line 484 - EOG Resources INC listed on 244 of 2016 report ( should have been listed as partial sale)

Line 491 - General Motors Co listed on line 457 of 2016 report

Line 506 - Jabil Circuit Inc listed on line 465 of 2016 report

Line 511 - Lear Corp listed on line 467 of 2016 report

Line 513 - Lowes Cos Inc. listed on line 470 of 2016 report

Line 523 - Nvidia Corp listed on line 475 of 2016 report

Line 527 - Prologics Inc listed on line 477 of 2016 report

Line 528 - Prudential Financial Inc,listed on line 478 of 2016 report

Line 547 - Sysco Corp listed on line 483 of 2016 report

Line 552 - United Health Group listed on line 485 of 2016 report

Line 553 - Valero Energy Corp listed on line 486 of 2016 report

Assets listed on 2016 Report not listed on 2017 Report:

Line 64 - Guided Therapeutics sold 07/12/16

Line 67 - Hartford Capital Appreciation Fund sold 10/12/17

Line 96 - Paragon Life Fixed Fund sold 10/12/17

Line 123 - Wells Fargo &amp; Co listed on line 185 of 2017 report

Line 167 - Citigroup Inc. Note omitted in error

Line 169 - Gilead Sciences Inc Note omitted in error

Line 181 - Wells Fargo Co Mtn listed on line 258 on 2017 report

Line 190 - AT&amp;T Inc listed on line 2 of 2017 report

Line 208 - IBM listed on line 161 of 2017 report

Line 212 - Target Corp Com listed on line 129 of 2017 report

Line 282 - Dupont El Nemours &amp; Co. listed on line 73 of 2017 report

Line 303 - Ingersoll-Rand PLC SHS listed on line 88 of 2017 report

Line 305 - Advansix Inc - listed on line 25of 2017 report &amp; sold on 03/03/2017

Line 318 - Dupont El Nemours &amp; Co listed on line 305 of 2017 report

Line 338 - AT&amp;T Inc listed on line 40 of 2017 report

Line 341 - Apple Inc listed on line 37 of 2017 report

Line 342 - Bank of America Corp listed on line 43 of 2017 report

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Line 344 - Conoco Phillips listed on line 61 of 2017 report

Line 347 - Morgan Stanley Mtn erroneously omitted

Lines 349-352 - US Treasury Notes listed on line 249-256 of 2017 report

Line 354 - AT&amp;T Inc listed on line 186 on 2017 report

Line 359 - Citigroup Inc listed on line 59 of 2017 report

Line 360 - Fed Home Lu Mtg Corp Pool listed on line 78 of 2017 report ( name change to Fed Natl Mtg Assn Pool)

Line 366 - Home Depot Inc listed on line 86 of 2017 report

Line 367 - JP Morgan Chase &amp; Co. listed on line 105 of 2017 report

Lines 384- 391 US Treasury Notes listed on lines 392-399 on 2017 report

Line 394 - Wells Fargo &amp; Co. listed on line 145 of 2017 report

Line 395 - NXP Semiconductors unable to determine sale date

Line 405 - Apple Inc listed on 279 of 2017 report

Line 438 - AT&amp;T Inc listed on line 437 of 2017 report

Line 450 - DST Systems Inc unable to determine sale date

Line 461 - Home Depot listed on line 86 of 2017 report

Line 469 - Linear Technology Corp should have been listed as sold on 03/21/2017

Line 472 Merck &amp; Co. listed on line 102 of 2017 report

Line 479 Southwest Airlines Co should have listed as purchased 07/16/16 and sold 12/13/16

Line 484 - TJX Cos Inc listed on line 384 of 2017 report

Line 487 - Accenture PLC CL a listed on line 419 on 2017 report

Line 492 - Amgen Inc listed on line 35 of 2017 report

Line 493 - Apple Inc listed on line 434 on 2017 report

Line 500 - Delta Airlines Inc listed on line 473 on 2017 report

Line 501 - Dow Chemical Co listed on line 487 on 2017 report (listed as Dow Dupont)

Line 502 Electronic Arts listed on line 153 on 2017 report

Line 506 - Footlocker Inc listed on line 158 on 2017 report

Line 509 - Goldman Sachs Inc listed on line 82 on 2017 report (sold on line 160)

Line 511 - Home Depot listed on line 326 on 2017 report

Line 514 - JP Morgan Chase &amp; Co listed on line 105 on 2017 report

Line 519 - LinearTechnology Corp erroneously omitted however was sold on 03/02/2017

Line 523 - Microsoft Corp listed on line 103 on 2017 report

Line 588 - VWR Corp Com unable to determine the date of sale

Line 593 Delphi Automotive PLC listed on line 208 on 2017 report

Line 595 - AT&amp;T Inc listed on line 40 on 2017 report

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Line 596 - Advansix Inc listed on line 25 on 2017 report

Line 597 - Aetna Inc listed on line 26 on 2017 report

Line 598 - Apple Inc listed on line 279 on 2017 report

Line 609 - Dupont El Nemours & Co. listed on line 152 on 2017 report

Line 612 - Home Depot Inc listed on line 497 on 2017 report

Line 613 - Honeywell Intl Inc listed on line 498 on 2017 report

Line 614 - Intercontinental Exchang Inc listed on line 503 on 2017 report

Line 615 - Mednax Inc listed on line 517 on 2017 report

Line 616 - Microsoft listed on line 518 on 2017 report

Line 618 - Oceaneering Intl Inc listed on line 366 on 2017 report

Line 620 - Paramount Group Inc listed on line 370 on 2017 report

Line 624 - Total Systems Inc listed on line 551 on 2017 report

Line 625 - Tupperware Brands Corp listed on line 387 on 2017 report

Line 626 - Umpqua Holdings Corp listed on line 388 on 2017 report

Line 634 - Yandex Nv unable to determine date of sale

Line 636 - Amber SA Sponsored ADR unable to determine date of sale

Line 660 - AT&T Inc listed on line 437 on 2017 report

Line 661 - Adobe Sys Inc listed on line 188 on 2017 report

Line 662 - Amgen Inc listed on line 435 on 2017 report

Line 663 - Apple Inc listed on line 434 on 2017 report

Line 664 - Bank of America listed on line 196 on 2017 report

Line 666 - Conocophillips listed on line 61 can not locate any additional listings

Line 667 - Gilead Sciences Inc listed on line 320 on 2017 report

Line 668 - JP Morgan Chase & Co listed on line 105 on 2017 report

Line 669 - Morgan Stanley Note listed on line 359 on 2017 report

Line 670 Oracle Corp listed on line 369 on 2017 report

Lines 671-675 - US Treasury Notes listed on lines 404-408 on 2017 report

**Note to the Committee:**

It is the preparer's intention to prepare this report in the same order each year and to list assets in the easiest readable manner. However mid year 2016 there was a change in investment firms and Judge Norton's listings increased from approximately 135 assets to 676 assets. There were numerous trades being made and the

preparer was unable to acquire answers to all of his questions concerning these accounts. The 2016, 2017 and 2018 reports were prepared with as much time, effort and diligence as humanly possible. I apologize for the confusion of the report and will continue in my efforts to make the report less confusing.

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*



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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David C. Norton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

AO 10  
Rev. 1/2019**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2018***Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  Norton, David C.	<b>2. Court or Organization</b>  U.S. District Court	<b>3. Date of Report</b>  08/15/2019
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. District Court Judge	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2018 to 12/31/2018
<b>7. Chambers or Office Address</b>  P.O. Box 835 Charleston, SC 29402		
<p align="center"><b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i></p>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*☐ NONE *(No reportable positions.)*POSITIONNAME OF ORGANIZATION/ENTITY

1. Trustee	Article 5 Trust
2. Trustee	Article 6 Trust
3.	
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*☒ NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Web MD - Editorial Stipend
2. 2018	Private Consulting
3. 2018	Medical Director, Sharing Hope
4. 2018	Newberry Pathology Associates - Autopsy Services
5. 2018	Springer Publishing - Book Royalties
6.	

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Non-FJC educational seminar or program Funded by: Bar Group, Bar Organization. nongov	08/02/2018-08/04/2018	Hilton Head Island, SC	Activity of professional association	Transportation, lodging & food.
2.				
3.				
4.				

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5.

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_



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Name of Person Reporting

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**VII. INVESTMENTS and TRUSTS** -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. Apergegy Corp		None	J	T	Spinoff (from line 10)	05/09/18	J		
3. AT & T #1	A	Dividend	J	T					
4. BP PLC ADR	B	Dividend	L	T					
5. Bristol Myers Squibb	A	Dividend	J	T					
6. Chevron Corp #1	A	Dividend	K	T					
7. Comcast Corp CL A #1	A	Dividend	J	T					
8. Walt Disney Co #1	A	Dividend	K	T					
9. Dover	A	Dividend	K	T					
10. Dow Inc #1	A	Dividend	J	T					
11. Eli Lilly & Co #1	A	Dividend	K	T					
12. Emerson Electric	A	Dividend	K	T					
13. Energy Transfer Partners LP #1		None	K	T					
14. Fidelity Hereford Street Trust Daily Govt MM #1		None	L	T	Buy	12/29/18	L		
15. General Electric Co #1	A	Dividend	J	T					
16. Knowles Corporation		None	J	T					
17. 3M Company A	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pfizer Inc #1	A	Dividend	K	T					
19. Proctor & Gamble #1	A	Dividend	L	T					
20. Seana Corp	A	Dividend			Sold	12/29/18	J	A	
21. South State Corp Com # 1	B	Dividend	L	T					
22. Suntrust Banks Inc	B	Dividend	K	T					
23. Synovus Financial Corp	A	Dividend	J	T					
24. Westinghouse Air Brake Technologies Corp		None	J	T					
25. Zimmer Biomet Hldgs Inc	A	Dividend	J	T					
26. Abbot Laboratories		None			Sold	12/29/18	J	A	
27. Adobe Sys Inc	A	Dividend			Sold	12/29/18	J	A	
28. Advantix Inc		None	J	T					
29. Aetna Inc	A	Dividend			Sold	12/29/18	J	A	
30. Agilent Tech Inc	A	Distribution			Sold	12/29/18	J	A	
31. Alcoa Inc		None	J	T					
32. Allergan PLC Com	A	Dividend			Sold	12/29/18	J	A	
33. Alphabet Inc	A	Distribution			Sold	12/29/18	J	A	
34. Altria Group	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. American Express Co	A	Dividend	J	T					
36. American Tower Corp	A	Dividend			Sold	12/29/18	J	A	
37. Ametek Inc	A	Dividend			Sold	12/29/18	J	A	
38. Amgen Inc	A	Dividend	K	T					
39. Apple Inc	A	Dividend			Sold	12/29/18	J	A	
40. Aptiv PLC	A	Dividend			Sold	12/29/18	J	A	
41. Arconic Inc	A	Dividend	J	T					
42. AT&T Inc #2	A	Dividend	J	T					
43. Avanos Medical Inc		None	J	T					
44. Avery Dennison Corp	A	Dividend			Sold	12/29/18	J	A	
45. BB&T Corp	A	Dividend			Sold	12/29/18	J	A	
46. Bank of America #1	A	Dividend	J	T					
47. Bank of New York Mellon Corp	A	Dividend	J	T					
48. Bank of the Ozarks	A	Dividend			Sold	12/29/18	J	A	
49. Baxter Intl Inc		None			Sold	12/29/18	J	A	
50. Berkshire Hathaway Inc C1 B		None	K	T					
51. Blackhawk Network Hlds Inc		None			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

Screenshot

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Boeing	A	Dividend			Sold	12/29/18	J	A	
53. Camden PPTY TR SH Ben Inc	A	Dividend			Sold	12/29/18	J	A	
54. Capital One Financial Corp	A	Dividend			Sold	12/29/18	J	A	
55. Carnival Corp Paired CTF	A	Dividend			Sold	12/29/18	J	A	
56. Celgene Corp	A	Dividend			Sold	12/29/18	J	A	
57. Cenerpoint Energy Inc		None			Sold	12/29/18	J	A	
58. Centene Corp Com	A	Dividend			Sold	12/29/18	J	A	
59. Charter Communications		None	J	T					
60. Chevron Corp #2	A	Dividend	K	T					
61. Cisco Sys Inc	A	Dividend	K	T				A	
62. Citigroup Inc	A	Dividend	K	T					
63. Citizens Financial Corp Inc	A	Dividend			Sold	12/29/18	J	A	
64. CWD Corp Com	A	Distribution			Sold	12/29/18	J	A	
65. Fidelity Money Market Account	A	Interest	J	T					
66. Fidelity Money Market Account	A	Interest	K	T					
67. Coca-Cola Co	A	Dividend	J	T					
68. Comcast Corp CL A #2	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Cummins Inc		None			Sold	12/29/18	J	A	
70. Darling Ingredients Inc	A	Dividend			Sold	12/29/18	J	A	
71. Delphi Automotive PLC Com	A	Dividend			Sold	12/29/18	J	A	
72. Delta Airlines Inc	A	Dividend			Sold	12/29/18	J	A	
73. Devon Energy Corp	A	Dividend			Sold	12/29/18	J	A	
74. Walt Disney Co #2	A	Dividend	L	T					
75. Dow Dupont Chemical #1	A	Dividend	J	T					
76. DXC Technology Co.		None	J	T	Spinoff (from line 90)	10/14/18	J		
77. Duke Energy	A	Dividend	J	T					
78. Emcor Group Inc		None			Sold	12/29/18	J	A	
79. EOG Resources Inc	A	Dividend			Sold	12/29/18	J	A	
80. Exxon Mobil Corp #1	A	Dividend	J	T					
81. Federal Natl Mtg Assn Pool		None			Sold	12/29/18	J	A	
82. Fidelity Hereford Street Trust Daily MM #2		None	K	T	Buy	12/29/18	K		
83. Garret Motion Inc		None	J	T	Spinoff (from line 92)	10/04/18	J		
84. General Electric #2	A	Distribution	J	T					
85. General Motors Co	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Gilead Sciences Inc	A	Dividend			Sold	12/29/18	J	A	
87. Goldman Sachs Group Inc	A	Dividend	J	T					
88. Halyard Health Inc		None			Merged (with line 44)	10/15/18	J		
89. Hartford Financial Services Group	A	Dividend	J	T					
90. Hewlett Packard Inc Com	A	Dividend	J	T					
91. Home Depot Inc	B	Dividend	L	T					
92. Honeywell Intl Inc	A	Dividend	K	T					
93. Ingersoll Rand PLC	A	Dividend			Sold	12/29/18	J	A	
94. Intel	A	Dividend			Sold	12/29/18	J	A	
95. Intercontinental Exchange Inc	A	Dividend			Sold	12/29/18	J	A	
96. Jabil Circuit Inc	A	Dividend			Sold	12/29/18	J	A	
97. Johnson & Johnson	A	Dividend	K	T					
98. Kimberly Clark	A	Dividend	J	T					
99. Kraft-Heinz Foods Inc CL A	A	Dividend	J	T					
100. Laboratory Corp	A	Dividend			Sold	12/29/18	J	A	
101. Lear Corp	A	Dividend			Sold	12/29/18	J	A	
102. Lincoln National Corp	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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**VII. INVESTMENTS and TRUSTS** -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Lowes Cos Inc	A	Dividend			Sold	12/29/18	J	A	
104. McDonalds Corp	A	Dividend	L	T					
105. Merck & Co Inc New	A	Dividend	J	T					
106. Micro Focus Intl		None	J	T					
107. Microsoft Corp	A	Dividend	K	T					
108. Mondelez Intl Inc Com		None	J	T					
109. JP Morgan Chase & Co	A	Dividend	K	T					
110. Netapp Inc	A	Distribution			Sold	12/29/18	J	A	
111. Nokia Corp	A	Dividend	J	T					
112. Northrop Grumman Corp Holding Co	A	Dividend			Sold	12/29/18	J	A	
113. Nvidia Corp	A	Dividend			Sold	12/29/18	J	A	
114. Occidental Petroleum Corp	A	Dividend			Sold	12/29/18	J	A	
115. Oceaneering Intl Inc	A	Dividend			Sold	12/29/18	J	A	
116. Omnicom Group	A	Dividend			Sold	12/29/18	J	A	
117. On Semiconductor		None			Sold	12/29/18	J	A	
118. Oracle Corp	A	Distribution			Sold	12/29/18	J	A	
119. Paramount Group Inc	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pepsico Inc	A	Dividend	K	T					
121. Perspecta Inc		None	J	T	Spinoff (from line 90)	10/14/18	J		
122. Pfizer Inc #2	A	Dividend	K	T					
123. Phillip Morris Intl Inc	A	Dividend	J	T					
124. Proctor & Gamble #2	A	Dividend	K	T					
125. Prologis Inc	A	Dividend			Sold	12/29/18	J	A	
126. Promontory FDIC - Joint Non Qualified Acct.		None	N	T	Buy	12/29/18	N		
127. Promontory FDIC - Article 6 Trust		None	O	T	Buy	12/29/18	O		
128. Promontory FDIC - Article 5 Trust		None	M	T	Buy	12/29/18	M		
129. Prudential Finl Inc	A	Dividend			Sold	12/29/18	J	A	
130. Raymond James Financial Inc		None			Sold	12/29/18	J	A	
131. Residio Technologies Inc		None	J	T	Spinoff (from line 92)	10/14/18	J		
132. Royal Dutch Shell PLC Spon ADR B	A	Dividend			Sold	12/29/18	J	A	
133. Sabre Corp	A	Dividend			Sold	12/29/18	J	A	
134. Stanley Black & Decker Inc	A	Dividend			Sold	12/29/18	J	A	
135. Sysco Corp	A	Dividend			Sold	12/29/18	J	A	
136. Target Corp Com	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Texas Instruments Inc	A	Dividend	L	T					
138. Time Inc New Com	A	Dividend			Sold	12/29/18	J	A	
139. Time Warner Inc New	A	Dividend			Sold	12/29/18	J	A	
140. Toro Co	A	Dividend			Sold	12/29/18	J	A	
141. Total System Services Inc	A	Distribution	K	T					
142. Travelers Companies Inc	A	Dividend			Sold	12/29/18	J	A	
143. Tupperware Brands Corp	A	Dividend			Sold	12/29/18	J	A	
144. Tyson Foods Inc		None			Sold	12/29/18	J	A	
145. Umpqua Holdings Corp	A	Dividend			Sold	12/29/18	J	A	
146. Union Pacific Corp	A	Dividend			Sold	12/29/18	J	A	
147. United Health Group	A	Dividend			Sold	12/29/18	J	A	
148. Valero Energy Group	A	Dividend			Sold	12/29/18	J	A	
149. Verizon Communications #1	A	Dividend	J	T					
150. VM Waare Inc	A	Dividend			Sold	12/29/18	J	A	
151. Wal Mart Stores Inc Com	A	Distribution			Sold	12/29/18	J	A	
152. Westinghouse Air Brake Technologies Corp		None	J	T					
153. Zimmer Biomet Hlds Inc	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Bank of America #2	A	Int./Div.	K	T					
155. Chemours Co	A	Dividend	J	T					
156. Chevron Corp #3	A	Dividend	L	T					
157. Citigroup Inc	A	Dividend			Sold	12/29/18	J	A	
158. CSX Corp	A	Dividend	M	T					
159. Dow Dupont El De Nemours & Co	A	Dividend	K	T					
160. Eli Lilly & Co #2	A	Dividend	M	T					
161. Energy Transfer Partners LP #2	A	Dividend	K	T					
162. Exxon Mobil Corp #2	A	Dividend	K	T					
163. General Electric Co	A	Dividend	K	T					
164. IBM	B	Dividend	L	T					
165. Ishares Core S&P Mid Cap ETF	A	Dividend			Sold	12/29/18	J	A	
166. Ishares Core S&P Small Cap ETF	A	Dividend			Sold	12/29/18	J	A	
167. Ishares Core S&P 500 ETF	A	Dividend			Sold	12/29/18	J	A	
168. Ishares Edge MSCI USA Momentum Factor ETF		None			Sold	12/29/18	J	A	
169. Ishares Edge MSCI Min Vol USA ETF		None			Sold	12/29/18	J	A	
170. Isahres Inc Core MSCI Emerging Mkts ETF	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes: (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Ishares S&P 500 Value ETF		None			Sold	12/29/18	J	A	
172. Ishares S&P 500 Growth ETF	A	Dividend			Sold	12/29/18	J	A	
173. Ishares Trust Core MSCI EAFE ETF	A	Dividend			Sold	12/29/18	J	A	
174. Ishares Trust Currency Hedged MSC AEFE ETF	A	Dividend			Sold	12/29/18	J	A	
175. Ishares iBoxx Investment Grade Corporate	A	Dividend			Sold	12/29/18	J	A	
176. Ishares TR Barclays 7 10 YR	A	Dividend			Sold	12/29/18	J	A	
177. Ishares TR Intrmd Cr BD ETF	A	Dividend			Sold	12/29/18	J	A	
178. Ishares TR JP Mor Em Mk ETF	A	Dividend			Sold	12/29/18	J	A	
179. Ishares TR 20 YR TR BD ETF	A	Dividend			Sold	12/29/18	J	A	
180. Ishares US ETF Trust Short Maturity BD	A	Dividend			Sold	12/29/18	J	A	
181. Motorola Solutions Inc	A	Dividend	J	T					
182. Norfolk Southern	B	Dividend	N	T					
183. South State Corp Com #2	B	Dividend	L	T					
184. Wells Fargo & Co	A	Dividend	K	T					
185. At&T Inc #3	A	Dividend	K	T					
186. Abbvie Inc	A	Dividend			Sold	12/29/18	J	A	
187. Adobe Sys Inc Note	A	Interest			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Aetna Inc	A	Dividend			Sold	12/29/18	J	A	
189. Allergan PLC Com	A	Dividend			Sold	12/29/18	J	A	
190. Amtek Inc	A	Dividend			Sold	12/29/18	J	A	
191. Apple Inc Note	A	Interest			Sold	12/29/18	J	A	
192. Aptiv PLC	A	Dividend			Sold	12/29/18	J	A	
193. BB&T Corp	A	Dividend			Sold	12/29/18	J	A	
194. Bank of the Ozarks	A	Dividend			Sold	12/29/18	J	A	
195. Bank of America Corp	A	Dividend	K	T					
196. Boeing	A	Dividend			Sold	12/29/18	J	A	
197. Camden PPTY TR SH BEN Int	A	Dividend			Sold	12/29/18	J	A	
198. Capital One Paired CTF	A	Dividend			Sold	12/29/18	J	A	
199. Carnival Corp Paired CTF	A	Dividend			Sold	12/29/18	J	A	
200. Celgene Corp	A	Dividend			Sold	12/29/18	J	A	
201. Chevron Corp #4	A	Dividend	L	T					
202. Cisco Sys Inc	A	Dividend			Sold	12/29/18	J	A	
203. Citigroup Inc Note	A	Interest			Sold	12/29/18	J	A	
204. Citigroup Inc Com New	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Coca-Cola Co	A	Dividend			Sold	12/29/18	J	A	
206. Darling Ingredients Inc	A	Dividend			Sold	12/29/18	J	A	
207. Delta Air Lines Del Com New	A	Dividend			Sold	12/29/18	J	A	
208. Devon Energy Corp	A	Dividend			Sold	12/29/18	J	A	
209. Dow Dupont Inc	A	Dividend	J	T					
210. EOG Resources Inc	A	Dividend			Sold	12/29/18	J	A	
211. General Electric #4	A	Distribution			Sold	12/29/18	J	A	
212. General Motors	A	Dividend			Sold	12/29/18	J	A	
213. Haliburton Co Hldg	A	Dividend			Sold	12/29/18	J	A	
214. Home Depot Inc com	A	Dividend			Sold	12/29/18	J	A	
215. IBM	A	Dividend	L	T					
216. Ingersoll Rand PLC SHS	A	Int./Div.			Sold	12/29/18	J	A	
217. Intercontinental Exchange Inc	A	Dividend			Sold	12/29/18	J	A	
218. JNL Growth Allocation	A	Dividend	L	T					
219. JNL American Balanced	A	Dividend	L	T					
220. JNL American Funds Global Bond	A	Dividend	J	T					
221. JNL Invesco Small Cap Growth	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. JNL JP Morgan Midcap Growth	A	Dividend	L	T					
223. JNL Mellon Capital Consumer Discretionary Sector	A	Dividend	L	T					
224. JNL Mellon Capital Healthcare Sector	A	Dividend	L	T					
225. JNL Mellon Capital Nasdaq 100	A	Dividend	L	T					
226. JNL S&P 4	A	Dividend	L	T					
227. JNL S&P Competitive Advantage	A	Dividend	L	T					
228. JNL T Rowe Price Established Growth	A	Dividend	L	T					
229. Laboratory Corp Amer Hldgs		None			Sold	12/29/18	J	A	
230. Mednax Inc	A	Dividend			Sold	12/29/18	J	A	
231. Microsoft Corp	A	Dividend			Sold	12/29/18	J	A	
232. JP Morgan Chase & Co Note	A	Int./Div.			Sold	12/29/18	J	A	
233. Occidental Petroleum Corp	A	Dividend			Sold	12/29/18	J	A	
234. Oceaneering Intl Inc	A	Dividend			Sold	12/29/18	J	A	
235. Omnicom Group	A	Dividend			Sold	12/29/18	J	A	
236. Oracle Corp Note	A	Interest			Sold	12/29/18	J	A	
237. Paramount Group Inc	A	Dividend			Sold	12/29/18	J	A	
238. Pfizer Inc	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes: (See Column C2)					

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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Philip Morris Intl	A	Dividend			Sold	12/29/18	J	A	
240. Proctor & Gamble Co	A	Dividend			Sold	12/29/18	J	A	
241. Promontory FDIC- David IRA		None	O	T	Buy	12/29/18	O		
242. Royal Dutch Shell PLC Spon ADR	A	Dividend			Sold	12/29/18	J	A	
243. Sabre Corp	A	Dividend			Sold	12/29/18	J	A	
244. Total Sys Svcs Inc	A	Dividend			Sold	12/29/18	J	A	
245. Umpqua Holdings Corp	A	Dividend			Sold	12/29/18	J	A	
246. Union Pacific Corp	A	Dividend			Sold	12/29/18	J	A	
247. US Treasury NTS Note	A	Interest			Sold	12/29/18	J	A	
248. US Treasury NTS Note	A	Interest			Sold	12/29/18	J	A	
249. US Treasury NTS Note	A	Interest			Sold	12/29/18	J	A	
250. US Treasury NTS Note	A	Interest			Sold	12/29/18	J	A	
251. US Treasury NTS Note	A	Interest			Sold	12/29/18	J	A	
252. US Treasury NTS Note	A	Interest			Sold	12/29/18	J	A	
253. US Treasury NTS Note	A	Interest			Sold	12/29/18	J	A	
254. US Treasury NTS Note	A	Interest			Sold	12/29/18	J	A	
255. Verizon Communications #2	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Norton, David C.

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**VII. INVESTMENTS and TRUSTS** – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Wells Fargo Co	A	Dividend	K	T					
257. Westinghouse Air Brake Technologies Corp		None	J	T					
258. Zimmer Biomet Hlds Inc	A	Dividend			Sold	12/29/18	J	A	
259. AT&T Inc Com	A	Dividend			Sold	12/29/18	J	A	
260. AT&T Inc Note	A	Interest			Sold	12/29/18	J	A	
261. Acuity Brands Inc	A	Dividend			Sold	12/29/18	J	A	
262. Adobe Sys Inc Note	A	Interest			Sold	12/29/18	J	A	
263. Aetna Inc	A	Dividend			Sold	12/29/18	J	A	
264. AFLAC Inc	A	Dividend			Sold	12/29/18	J	A	
265. Air Products & chem	A	Dividend			Sold	12/29/18	J	A	
266. Align Technology	A	Dividend			Sold	12/29/18	J	A	
267. Allergen PLC	A	Dividend			Sold	12/29/18	J	A	
268. Alliance Data Sys Corp	A	Dividend			Sold	12/29/18	J	A	
269. Alphabet Inc Cp Stk	A	Dividend			Sold	12/29/18	J	A	
270. Amazon	A	Dividend			Sold	12/29/18	J	A	
271. American Express Corp	A	Dividend			Sold	12/29/18	J	A	
272. Ametek Inc	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. AmeisourceBergen Corp	A	Dividend			Sold	12/29/18	J	A	
274. Amgen Inc	A	Dividend			Sold	12/29/18	J	A	
275. Amphenol Corp	A	Dividend			Sold	12/29/18	J	A	
276. Analog Devices Inc	A	Dividend			Sold	12/29/18	J	A	
277. Anheuser Busch Bev Inc	A	Dividend			Sold	12/29/18	J	A	
278. Apple Inc	A	Dividend			Sold	12/29/18	J	A	
279. Apple Inc Note	A	Dividend			Sold	12/29/18	J	A	
280. Avery Dennison Corp	A	Dividend			Sold	12/29/18	J	A	
281. BB&T	A	Dividend			Sold	12/29/18	J	A	
282. Bank of America Corp Note	A	Interest			Sold	12/29/18	J	A	
283. Bank of the Ozarks	A	Dividend			Sold	12/29/18	J	A	
284. Berkshire Hathaway Inc	A	Dividend			Sold	12/29/18	J	A	
285. Broadcom Limited	A	Dividend			Sold	12/29/18	J	A	
286. Camden PPTY TR SH Ben Int	A	Dividend			Sold	12/29/18	J	A	
287. Capital One Financial Corp	A	Dividend			Sold	12/29/18	J	A	
288. Carnival Corp Paired CTF	A	Dividend			Sold	12/29/18	J	A	
289. Celgene Corp	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Cetene Corp Del	A	Dividend			Sold	12/29/18	J	A	
291. Chevron	A	Dividend			Sold	12/29/18	J	A	
292. Cintas Corp	A	Dividend			Sold	12/29/18	J	A	
293. Cisco Sys Inc	A	Dividend			Sold	12/29/18	J	A	
294. Citigroup Inc	A	Dividend			Sold	12/29/18	J	A	
295. Cognizant Tech Solutions Corp	A	Dividend			Sold	12/29/18	J	A	
296. Comcast Corp	A	Dividend			Sold	12/29/18	J	A	
297. Constellation Brands Inc	A	Dividend			Sold	12/29/18	J	A	
298. CWD Corp Com	A	Dividend			Sold	12/29/18	J	A	
299. CVS Health Corp	A	Dividend			Sold	12/29/18	J	A	
300. Darling Ingredients Inc	A	Dividend			Sold	12/29/18	J	A	
301. Delta Air Lines Inc	A	Dividend			Sold	12/29/18	J	A	
302. Devon Energy Corp	A	Dividend			Sold	12/29/18	J	A	
303. Dick's Sporting Goods	A	Dividend			Sold	12/29/18	J	A	
304. Dow Dupont Inc	A	Dividend			Sold	12/29/18	J	A	
305. Electronic Arts	A	Dividend			Sold	12/29/18	J	A	
306. Envision Health Care Corp	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. EOG Resources Inc	A	Dividend			Sold	12/29/18	J	A	
308. Exxon Mobil Corp	A	Dividend	K	T					
309. Facebook Inc	A	Dividend			Sold	12/29/18	J	A	
310. Fed Home LN Mtg	A	Dividend			Sold	12/29/18	J	A	
311. Fed Natl Mtg Assn Pool	A	Dividend			Sold	12/29/18	J	A	
312. Fidelity Hereford Street Trust Daily MM #3		None	J	T	Buy	12/29/18	J		
313. Fiserv Inc	A	Dividend			Sold	12/29/18	J	A	
314. Fleetcor Technologies Inc	A	Dividend			Sold	12/29/18	J	A	
315. Foot Locker Inc	A	Dividend			Sold	12/29/18	J	A	
316. Ford Motor Co	A	Dividend			Sold	12/29/18	J	A	
317. General Electric Cap Corp	A	Dividend	K	T					
318. General Motors Co	A	Dividend			Sold	12/29/18	J	A	
319. Gilead Sciences Inc	A	Dividend			Sold	12/29/18	J	A	
320. Global Pmts Inc	A	Dividend			Sold	12/29/18	J	A	
321. Goldman Sachs Group Inc	A	Dividend			Sold	12/29/18	J	A	
322. Halliburton Co Hdlg Co	A	Dividend			Sold	12/29/18	J	A	
323. HCA Hldgs Inc	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

Date of Report

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Hanes Brands Inc	A	Dividend			Sold	12/29/18	J	A	
325. Home Depot Inc	A	Dividend			Sold	12/29/18	J	A	
326. Huntington Bancshares Inc	A	Dividend			Sold	12/29/18	J	A	
327. Ingersoll Rand PLC SHS	A	Dividend			Sold	12/29/18	J	A	
328. Intel Corp	A	Dividend			Sold	12/29/18	J	A	
329. Intercontinental Exchange Inc	A	Dividend			Sold	12/29/18	J	A	
330. IPG Phototonics Corp	A	Dividend			Sold	12/29/18	J	A	
331. Jabil Circuit Inc	A	Dividend			Sold	12/29/18	J	A	
332. JNL Growth Allocation	A	Dividend	L	T					
333. JNL American Funds Balanced	A	Dividend	L	T					
334. JNL Invesco Small Cap Growth	A	Dividend	L	T					
335. JNL Morgan Midcap Growth	A	Dividend	L	T					
336. JNL Mellon Capital Consumer Discretionary Sector	A	Dividend	L	T					
337. JNL Mellon Capital Healthcare Sector	A	Dividend	L	T					
338. JNL Mellon Capital Nasdaq 100	A	Dividend	L	T					
339. JNL S&P 4	A	Dividend	L	T					
340. JNL S&P Competitive Advantage	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. JNL T Rowe Price Established Growth	A	Dividend	L	T					
342. Kroger Co Com	A	Dividend			Sold	12/29/18	J	A	
343. Laboratory Corp Amer Hldgs	A	Dividend			Sold	12/29/18	J	A	
344. Estee Lauder Companies Inc	A	Dividend			Sold	12/29/18	J	A	
345. Lear Corp	A	Dividend			Sold	12/29/18	J	A	
346. Lincoln Natl Corp	A	Dividend			Sold	12/29/18	J	A	
347. Lockheed Martin Corp	A	Dividend			Sold	12/29/18	J	A	
348. Lowes Cos Inc	A	Dividend			Sold	12/29/18	J	A	
349. Mastercard Inc	A	Dividend			Sold	12/29/18	J	A	
350. McKesson Corp	A	Dividend			Sold	12/29/18	J	A	
351. Merck Corp	A	Dividend			Sold	12/29/18	J	A	
352. Mettler-Toledo Intl	A	Dividend			Sold	12/29/18	J	A	
353. Microsoft Corp	A	Dividend			Sold	12/29/18	J	A	
354. Middleby Corp	A	Dividend			Sold	12/29/18	J	A	
355. Monster Beverage Corp	A	Dividend			Sold	12/29/18	J	A	
356. JP Morgan Chase & Co	A	Dividend			Sold	12/29/18	J	A	
357. Morgan Stanley Mtn	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. NCR Corp	A	Dividend			Sold	12/29/18	J	A	
359. Netflix Com Inc	A	Dividend			Sold	12/29/18	J	A	
360. Nike Inc	A	Dividend			Sold	12/29/18	J	A	
361. Nordson Corp	A	Dividend			Sold	12/29/18	J	A	
362. Northrop Gruman Corp Hldg Co	A	Dividend			Sold	12/29/18	J	A	
363. Nvidia Corp	A	Dividend			Sold	12/29/18	J	A	
364. Oceaneering Intl	A	Dividend			Sold	12/29/18	J	A	
365. Occidental Petroleum Corp	A	Dividend			Sold	12/29/18	J	A	
366. Omnicom Group	A	Dividend			Sold	12/29/18	J	A	
367. Oracle Corp	A	Dividend			Sold	12/29/18	J	A	
368. Paramount Group Inc	A	Dividend			Sold	12/29/18	J	A	
369. Pinnacle Foods Inc	A	Dividend			Sold	12/29/18	J	A	
370. Proctor & Gamble Co	A	Dividend			Sold	12/29/18	J	A	
371. Prologis Inc	A	Dividend			Sold	12/29/18	J	A	
372. Prudential Finl Inc	A	Dividend			Sold	12/29/18	J	A	
373. Sabre Corp	A	Dividend			Sold	12/29/18	J	A	
374. Shell International Fin	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Sherwin Williams Co	A	Dividend			Sold	12/29/18	J	A	
376. Spectrum Brands Hldgs Inc	A	Dividend			Sold	12/29/18	J	A	
377. Spirit Aerosystems Hldgs Inc	A	Dividend			Sold	12/29/18	J	A	
378. Southern Co	A	Dividend			Sold	12/29/18	J	A	
379. Starbucks Corp	A	Dividend			Sold	12/29/18	J	A	
380. Suntrust Banks Inc	A	Dividend			Sold	12/29/18	J	A	
381. Sysco Corp	A	Dividend			Sold	12/29/18	J	A	
382. TXJ Cos Inc	A	Dividend			Sold	12/29/18	J	A	
383. Total Sys Svcs	A	Dividend			Sold	12/29/18	J	A	
384. Trimble Inc	A	Dividend			Sold	12/29/18	J	A	
385. Tupperware Brands Corp	A	Dividend			Sold	12/29/18	J	A	
386. Umpqua Hldgs Corp	A	Dividend			Sold	12/29/18	J	A	
387. Union Pacific Corp	A	Dividend			Sold	12/29/18	J	A	
388. United Health Group	A	Dividend			Sold	12/29/18	J	A	
389. United Rentals Inc	A	Dividend			Sold	12/29/18	J	A	
390. US Treasury BDS	A	Interest			Sold	12/29/18	J	A	
391. US Treasury BDS	A	Interest			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. US Treasury BDS	A	Interest			Sold	12/29/18	J	A	
393. US Treasury BDS	A	Interest			Sold	12/29/18	J	A	
394. US Treasury BDS	A	Interest			Sold	12/29/18	J	A	
395. US Treasury BDS	A	Interest			Sold	12/29/18	J	A	
396. US Treasury BDS	A	Interest			Sold	12/29/18	J	A	
397. US Treasury BDS	A	Interest			Sold	12/29/18	J	A	
398. US Treasury Notes	A	Interest			Sold	12/29/18	J	A	
399. US treasury Notes	A	Interest			Sold	12/29/18	J	A	
400. US Treasury Notes	A	Interest			Sold	12/29/18	J	A	
401. US Treasury Notes	A	Interest			Sold	12/29/18	J	A	
402. US Treasury Note 2.373 <sup>1</sup>	A	Interest			Sold	12/29/18	J	A	
403. US Treasury Note .875	A	Interest			Sold	12/29/18	J	A	
404. US Treasury Note 1.5	A	Interest			Sold	12/29/18	J	A	
405. US Treasury Note 1.0	A	Interest			Sold	12/29/18	J	A	
406. US Treasury Note 1.375	A	Interest			Sold	12/29/18	J	A	
407. Valero Energy Corp	A	Dividend			Sold	12/29/18	J	A	
408. Verizon Communications Inc	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Versik Analytics Inc	A	Dividend			Sold	12/29/18	J	A	
410. Visa Inc	A	Dividend			Sold	12/28/18	J	A	
411. Wabco Hlds Inc		None			Sold	12/29/18	J	A	
412. Waters Corp	A	Dividend			Sold	12/29/18	J	A	
413. Wells Fargo Co	A	Dividend	J	T					
414. Williams Sonoma Inc	A	Dividend			Sold	12/29/18	J	A	
415. Zimmer Biomet Hlds Inc	A	Dividend			Sold	12/29/18	J	A	
416. Zoetis Inc	A	Dividend			Sold	12/29/18	J	A	
417. Accenture PLC	A	Distribution			Sold	12/29/18	J	A	
418. Activision Blizzard Inc	A	Dividend			Sold	12/29/18	J	A	
419. Adidas AG ADR EA	A	Dividend			Sold	12/29/18	J	A	
420. Aetna Inc	A	Dividend			Sold	12/29/18	J	A	
421. Agilent Tech Inc	A	Dividend			Sold	12/29/18	J	A	
422. Akamai Technologies Inc	A	Dividend			Sold	12/29/18	J	A	
423. Allegheny Tech Inc	A	Dividend			Sold	12/29/18	J	A	
424. Americant Tower Corporation	A	Dividend			Sold	12/29/18	J	A	
425. Amerisource Bergen Corp	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Ametek Inc		None			Sold	12/29/18	J	A	
427. Ansys Inc	A	Dividend			Sold	12/29/18	J	A	
428. Aptiv Inc	A	Dividend			Sold	12/29/18	J	A	
429. Alphabet Inc	A	Dividend			Sold	12/29/18	J	A	
430. Apple Inc	A	Dividend			Sold	12/29/18	J	A	
431. Amgen Inc	A	Dividend			Sold	12/29/18	J	A	
432. Arrow Electronics Inc		None			Sold	12/29/18	J	A	
433. AT&T Inc	A	Dividend	K	T					
434. Autodesk Inc	A	Dividend			Sold	12/29/18	J	A	
435. Avery Dennison Corp	A	Dividend			Sold	12/29/18	J	A	
436. BB&T Corp	A	Dividend			Sold	12/29/18	J	A	
437. Bank of the Ozarks	A	Dividend			Sold	12/29/18	J	A	
438. Bard CR Inc	A	Dividend			Sold	12/29/18	J	A	
439. Bio Rad Laboratories Inc	A	Dividend			Sold	12/29/18	J	A	
440. Black Knight Finl ACXA Inc	A	Dividend			Sold	12/29/18	J	A	
441. BorgWarner Inc	A	Dividend			Sold	12/29/18	J	A	
442. Boston Properties Inc	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. CBRE Group Inc	A	Dividend			Sold	12/29/18	J	A	
444. CDW Corp com	A	Dividend			Sold	12/29/18	J	A	
445. Cabot Corp	A	Dividend			Sold	12/29/18	J	A	
446. Camden PPTYTR SH Ben Inc	A	Dividend			Sold	12/29/18	J	A	
447. Canadian RY LTD	A	Dividend			Sold	12/29/18	J	A	
448. Capital One Finl Corp	A	Dividend			Sold	12/29/18	J	A	
449. Carnival Corp Paired CTF	A	Dividend			Sold	12/29/18	J	A	
450. Cetene Corp Del	A	Dividend			Sold	12/29/18	J	A	
451. Chevron Corp	A	Dividend			Sold	12/29/18	J	A	
452. CHR Hansen Hldg	A	Dividend			Sold	12/29/18	J	A	
453. Chubb Limited	A	Dividend			Sold	12/29/18	J	A	
454. Comcast Corp	A	Dividend			Sold	12/29/18	J	A	
455. Cimarex Energy Co	A	Dividend			Sold	12/29/18	J	A	
456. Cisco Sys Inc	A	Dividend			Sold	12/29/18	J	A	
457. Citigroup Inc	A	Dividend			Sold	12/29/18	J	A	
458. Coloplast UNSP	A	Dividend			Sold	12/29/18	J	A	
459. Compass Group PLC	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
460. Continental Res Inc	A	Dividend			Sold	12/29/18	J	A	
461. Core Laboratories NV	A	Dividend			Sold	12/29/18	J	A	
462. CSL LTD Sposored ADR	A	Dividend			Sold	12/29/18	J	A	
463. CSX Corp	A	Dividend	M	T					
464. Cummins Inc	A	Dividend			Sold	12/29/18	J	A	
465. Darden Restaurants	A	Dividend			Sold	12/29/18	J	A	
466. Darling Ingredients Inc	A	Dividend			Sold	12/29/18	J	A	
467. Delta Air Lines Inc	A	Dividend			Sold	12/29/18	J	A	
468. Dentsply Sirona Inc	A	Dividend			Sold	12/29/18	J	A	
469. Devon Energy Corp	A	Dividend			Sold	12/29/18	J	A	
470. Dover Corp	A	Dividend			Sold	12/29/18	J	A	
471. D R Horton Inc	A	Dividend			Sold	12/29/18	J	A	
472. Dow Dupont Inc	A	Dividend			Sold	12/29/18	J	A	
473. DSV As Adr	A	Dividend			Sold	12/29/18	J	A	
474. Eastman Chem Co	A	Dividend			Sold	12/29/18	J	A	
475. Eaton Vance Corp	A	Dividend			Sold	12/29/18	J	A	
476. Echostar Corp	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Screenshot

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. Emcor Group Inc	A	Dividend			Sold	12/29/18	J	A	
478. EOG Resources Inc	A	Dividend			Sold	12/29/18	J	A	
479. Essilor Intl S A	A	Dividend			Sold	12/29/18	J	A	
480. Experian PLC	A	Dividend			Sold	12/29/18	J	A	
481. Exxon Mobil Corp	A	Dividend	K	T					
482. Gatz Corp	A	Dividend			Sold	12/29/18	J	A	
483. Gilead Sciences Inc	A	Dividend			Sold	12/29/18	J	A	
484. General Dynamics Corp	A	Dividend			Sold	12/29/18	J	A	
485. General Motors Co	A	Dividend			Sold	12/29/18	J	A	
486. Global Pmts Inc	A	Dividend			Sold	12/29/18	J	A	
487. Halliburton Co Hldg	A	Dividend			Sold	12/29/18	J	A	
488. HDFC Bank LTD	A	Dividend			Sold	12/29/18	J	A	
489. Hermes Intl	A	Dividend			Sold	12/29/18	J	A	
490. Home Depot Inc	A	Dividend			Sold	12/29/18	J	A	
491. Icon PLC SHS	A	Dividend			Sold	12/29/18	J	A	
492. Inditex	A	Dividend			Sold	12/29/18	J	A	
493. Intel Corp	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Screenshot



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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. Intercontinental Exchange Inc	A	Dividend			Sold	12/29/18	J	A	
495. Intuit	A	Dividend			Sold	12/29/18	J	A	
496. JP Morgan Chase & Co	A	Dividend			Sold	12/29/18	J	A	
497. Jabil Circuit Inc	A	Dividend			Sold	12/29/18	J	A	
498. Keycorp	A	Dividend			Sold	12/29/18	J	A	
499. Keysight Technologies Inc	A	Dividend			Sold	12/29/18	J	A	
500. Lear Corp	A	Dividend			Sold	12/29/18	J	A	
501. Lincoln Natl Corp	A	Dividend			Sold	12/29/18	J	A	
502. Lowes Cos Inc	A	Dividend			Sold	12/29/18	J	A	
503. Luxotica Group	A	Dividend			Sold	12/29/18	J	A	
504. LVMH Moet Hennessy Vuitton SE	A	Dividend			Sold	12/29/18	J	A	
505. Masco Corp	A	Dividend			Sold	12/29/18	J	A	
506. Mednax Inc	A	Dividend			Sold	12/29/18	J	A	
507. Microsoft Corp	A	Dividend			Sold	12/29/18	J	A	
508. Nestle A	A	Dividend			Sold	12/29/18	J	A	
509. Newfield Exploration	A	Dividend			Sold	12/29/18	J	A	
510. Northrop Grumman Corp Hldg Co	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Screenshot



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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511. Novozymes AS	A	Dividend			Sold	12/29/18	J	A	
512. Nvidia Corp	A	Dividend			Sold	12/29/18	J	A	
513. Packaging Corp	A	Dividend			Sold	12/29/18	J	A	
514. Proctor & Gamble	A	Dividend			Sold	12/29/18	J	A	
515. Progressive Corp Ohio	A	Dividend			Sold	12/29/18	J	A	
516. Prologis Inc	A	Dividend			Sold	12/29/18	J	A	
517. Prudential Financial Inc	A	Dividend			Sold	12/29/18	J	A	
518. Raymond James Finl Inc	A	Dividend			Sold	12/29/18	J	A	
519. Reckitt Benckiser Group	A	Dividend			Sold	12/29/18	J	A	
520. Renaissance Hldgs LTD	A	Dividend			Sold	12/29/18	J	A	
521. Reinsurance Group America Inc	A	Dividend			Sold	12/29/18	J	A	
522. Republic Services Inc	A	Dividend			Sold	12/29/18	J	A	
523. Sabre Corp	A	Dividend			Sold	12/29/18	J	A	
524. The Scotts Miracle Gro Co	A	Dividend			Sold	12/29/18	J	A	
525. Sealed Air Corp	A	Dividend			Sold	12/29/18	J	A	
526. Snap On Inc	A	Dividend			Sold	12/29/18	J	A	
527. Stanley Black & Decker	A	Dividend			Sold	12/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Norton, David C.

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. Stifel Finl Corp	A	Dividend			Sold	12/29/18	J	A	
529. Synopsys Inc	A	Dividend			Sold	12/29/18	J	A	
530. Synchrony Financial Com	A	Dividend			Sold	12/29/18	J	A	
531. Syemex Corp	A	Dividend			Sold	12/29/18	J	A	
532. Sysco Corp	A	Dividend			Sold	12/29/18	J	A	
533. Taiwan Semiconductors MFG LTD	A	Dividend			Sold	12/29/18	J	A	
534. Tencent Hldgs Limited	A	Dividend			Sold	12/29/18	J	A	
535. TJX Cos Inc	A	Dividend			Sold	12/29/18	J	A	
536. Total Sys Svcs Inc	A	Int./Div.			Sold	12/29/18	J	A	
537. United Health Group	A	Dividend			Sold	12/29/18	J	A	
538. Valero Energy Corp	A	Dividend			Sold	12/29/18	J	A	
539. Wal Mart Stores Inc	A	Dividend			Sold	12/29/18	J	A	
540. Wal Mart De Mexico SAB De CV	A	Dividend			Sold	12/29/18	J	A	
541. WEC Energy Group Inc	A	Dividend			Sold	12/29/18	J	A	
542. Woodward Inc	A	Dividend			Sold	12/29/18	J	A	
543. Xilinx Inc	A	Dividend			Sold	12/29/18	J	A	
544. South State Bank	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. North Carolina Rental Property 100% Transylvania County, NC	A	Rent	P1	W					
546. Lain Farm, Johnson County, Indiana	E	Rent	P1	W					
547. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
548. South Carolina State Retirement Plan	B	Dividend	M	T					
549. MassMutual Life Ins (MML Equity Fund Portfolio)	A	Dividend	J	T					
550. Russell 2000 Index - Kim IRA	A	Dividend	K	T					
551. S & P 500 Index - Kim IRA	B	Dividend	M	T					
552. MSCI EAFE - Kim IRA	A	Dividend	K	T					
553. Promontory FDIC MM - Kim IRA		None	O	T	Buy	12/29/18	O		
554. Russell 2000 Index - Kim Non Qualified Acct.	A	Dividend	K	T					
555. S & P 500 Index - Kim Non Qualified Acct.	B	Dividend	M	T					
556. MSCI EAFE - Kim Non Qualified Acct.	A	Dividend	K	T					
557.									
558.									
559.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 545 is located in Transylvania County, North Carolina, USA.

2. Lain Farm listed on line 546.

Lain Farm value cost R Annual Rental Income E (This property was purchased in 1981 and is located outside the city limits in Johnson County, Indiana, USA. Life Valuemark II

3. Article 5 Trust consist of lines: 62, 128, 154-156, 158-164, 181-185, 195, 201, 204, 209, 215, 257, 308, 312, 317, 433 and 463.

4. Article 6 Trust consist of lines: 28, 31, 34, 35, 38, 41, 42, 43, 46, 47, 50, 59, 60, 61, 67, 68, 74-77, 80, 82-84, 87-92, 97-99, 104-109, 111, 120-124, 127, 131, 137, 152 and 413.

5. Westinghouse Air Brake Technology Corp (lines 24, 152, 257). Broker unable to provide date of purchase.

6. Advantix Inc. Line 28, erroneously omitted in 2017. Spinoff of Honeywell 10/16/2016.

7. Fidelity Money Market Acct (Lines 65 and 66) name changed to Fidelity Hereford Street Trust Daily MM.

8. Micro Focus Intl, Line 106 formed through merger 2017, erroneously omitted.

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Norton, David C.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David C. Norton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544



AO 10  
Rev. 1/2019**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2019***Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b>  Norton, David C.	<b>2. Court or Organization</b>  U.S. District Court	<b>3. Date of Report</b>  07/22/2020
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. District Court Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2019 to 12/31/2019
<b>7. Chambers or Office Address</b>  P.O. Box 835 Charleston, SC 29402		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions )*☐ NONE *(No reportable positions.)*POSITIONNAME OF ORGANIZATION/ENTITY

1. Trustee	Article 5 Trust
2. Trustee	Article 6 Trust
3.	
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions )*☒ NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1.	
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions )***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Web MD - Editorial Stipend
2. 2019	Private Consulting
3. 2019	Medical Director, Sharing Hope
4. 2019	Newberry Pathology Associates - Autopsy Services
5. 2019	Springer Publishing - Book Royalties
6. 2019	Medical Director, LifeHope Labs, Atlanta, GA
7. 2019	Editor, Cambridge University Press and College of American Pathologists Press
8.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Bar Association - educational seminar	01/17/2019 to 01/18/2019	Amelia Island, FL	Activity of professional association	Transportation, lodging & food.
2.				

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3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int )	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. Apergegy Corp		None	J	T					
3. AT &T #1	A	Dividend	J	T					
4. BP PLC ADR	A	Dividend	K	T					
5. Bristol Myers Squibb	A	Dividend	K	T					
6. Chevron Corp #1	A	Dividend	K	T					
7. Comcast Corp CL A #1	A	Dividend	J	T					
8. Corteva Inc	A	Dividend	J	T	Spinoff (from line 11)	06/03/19	J		
9. Walt Disney Co #1	A	Dividend	K	T					
10. Dover	A	Dividend	K	T					
11. Dow Inc #1	A	Dividend	J	T					
12. Dupont De Nemours Inc	A	Dividend	J	T	Spinoff (from line 11)	06/03/19	J		
13. Eli Lilly & Co #1	A	Dividend	K	T					
14. Emerson Electric	A	Dividend	K	T					
15. Energy Transfer Partners LP #1		None	K	T					
16. Fidelity Hereford Street Trust Daily Govt MM #1		None	L	T					
17. General Electric Co #1	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	



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18. Global Pmts Inc	A	Dividend	L	T	Spinoff (from line 85)	09/01/19	L		
19. Knowles Corporation		None	J	T					
20. 3M Company A		None			Sold	11/05/19	J	D	
21. Pfizer Inc #1	A	Dividend	K	T					
22. Proctor & Gamble #1	A	Dividend	L	T					
23. South State Corp Com # 1	B	Dividend	L	T					
24. Suntrust Banks Inc	B	Dividend	K	T					
25. Synovus Financial Corp	A	Dividend	J	T					
26. Trust Finl Corp Com		None	N	T	Merged (with line 25)	12/09/19	N		
27. Wabtec Corp Inc		None	J	T	Spinoff (from line 28)	02/25/19	J		
28. Westinghouse Air Brake Technologies Corp		None							
29. Zimmer Biomet Hldgs Inc	A	Dividend	J	T					
30. Advantix Inc		None	J	T					
31. Alcoa Inc		None	J	T					
32. Altria Group	A	Dividend	J	T					
33. American Express Co	A	Dividend	J	T					
34. Amgen Inc	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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35. Arconic Inc	A	Dividend	J	T					
36. AT&T Inc #2	A	Dividend	K	T					
37. Avanos Medical Inc		None	J	T					
38. Bank of America #1	A	Dividend	J	T					
39. Bank of New York Mellon Corp	A	Dividend	J	T					
40. Berkshire Hathaway Inc Cl B		None	K	T					
41. Charter Communications		None	J	T					
42. Chevron Corp #2	A	Dividend	K	T					
43. Cisco Sys Inc	A	Dividend	K	T					
44. Citigroup Inc	A	Dividend	K	T					
45. Fidelity Money Market Account	A	Interest	J	T					
46. Fidelity Money Market Account	A	Interest	K	T					
47. Coca-Cola Co	A	Dividend	K	T					
48. Comcast Corp CL A #2	A	Dividend	J	T					
49. Corteva Inc	A	Dividend	J	T	Spinoff (from line 51)	06/03/19	J		
50. Walt Disney Co #2	A	Dividend	L	T					
51. Dow Inc	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. Dupont De Nemours Inc	A	Dividend	J	T	Spinoff (from line 51)	06/03/19	J		
53. DXC Technology Co.		None	J	T					
54. Duke Energy	A	Dividend	J	T					
55. Exxon Mobil Corp #1	A	Dividend	J	T					
56. Fidelity Hereford Street Trust Daily MM #2		None	K	T					
57. Garret Motion Inc		None	J	T					
58. General Electric #2	A	Distribution	J	T					
59. Goldman Sachs Group Inc	A	Dividend	J	T					
60. Hartford Financial Services Group	A	Dividend	J	T					
61. Hewlett Packard Inc Com	A	Dividend	J	T					
62. Home Depot Inc	B	Dividend	M	T					
63. Honeywell Intl Inc	A	Dividend	K	T					
64. Johnson & Johnson		None			Sold	11/05/19	J	A	
65. Kimberly Clark	A	Dividend	J	T					
66. Kraft-Heinz Foods Inc CL A	A	Dividend	J	T					
67. Lincoln National Corp	A	Dividend	K	T					
68. McDonalds Corp	A	Dividend	L	T					

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69. Merck & Co Inc New	A	Dividend	J	T					
70. Micro Focus Intl		None	J	T					
71. Microsoft Corp	A	Dividend	K	T					
72. Mondelez Intl Inc Com		None	J	T					
73. JP Morgan Chase & Co	A	Dividend	K	T					
74. Nokia Corp	A	Dividend	K	T					
75. Pepsico Inc	A	Dividend	K	T					
76. Perspecta Inc		None	J	T					
77. Pfizer Inc #2	A	Dividend	K	T					
78. Phillip Morris Intl Inc	A	Dividend	J	T					
79. Proctor & Gamble #2	A	Dividend	K	T					
80. Promontory FDIC - Joint Non Qualified Acct.		None	N	T					
81. Promontory FDIC - Article 6 Trust		None	O	T					
82. Promontory FDIC - Article 5 Trust		None	M	T					
83. Residio Technologies Inc		None	J	T					
84. Texas Instruments Inc	A	Dividend	L	T					
85. Total System Services Inc		None	K	T					

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86. Travelers Companies Inc	A	Dividend	K	T					
87. Verizon Communications #1	A	Dividend	J	T					
88. Wabtec Inc		None	J	T	Merged (with line 89)	02/25/19	J		
89. Westinghouse Air Brake Technologies Corp		None							
90. Bank of America #2	A	Int./Div.	K	T					
91. Chemours Co	A	Dividend	J	T					
92. Chevron Corp #3	A	Dividend	L	T					
93. CSX Corp	A	Dividend	M	T					
94. Corteva Inc	A	Dividend	J	T	Spinoff (from line 95)	06/03/19	J		
95. Dow Inc	A	Dividend	J	T					
96. Dupont De Nemours Inc	A	Dividend	J	T	Spinoff (from line 95)	06/03/19	J		
97. Eli Lilly & Co #2	A	Dividend	M	T					
98. Energy Transfer Partners LP #2	A	Dividend	K	T					
99. Exxon Mobil Corp #2	A	Dividend	K	T					
100. General Electric Co	A	Dividend	K	T					
101. IBM	B	Dividend	L	T					
102. Motorola Solutions Inc	A	Dividend	J	T					

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103. Norfolk Southern	B	Dividend	N	T					
104. South State Corp Com #2	B	Dividend	M	T					
105. Wells Fargo & Co	A	Dividend	K	T					
106. At&T Inc #3	A	Dividend	K	T					
107. Bank of America Corp	A	Dividend	K	T					
108. Chevron Corp #4	A	Dividend	L	T					
109. Citigroup Inc Com New	A	Dividend	K	T					
110. Corteva Inc	A	Dividend	J	T	Spinoff (from line 111)	06/03/19	J		
111. Dow Inc	A	Dividend	J	T					
112. Dupont De Nemours Inc	A	Dividend	J	T	Spinoff (from line 111)	06/03/19	J		
113. IBM	A	Dividend	L	T					
114. JNL Growth Allocation	A	Dividend	L	T					
115. JNL American Balanced	A	Dividend	L	T					
116. JNL American Funds Global Bond	A	Dividend	J	T					
117. JNL Invesco Small Cap Growth	A	Dividend	L	T					
118. JNL JP Morgan Midcap Growth	A	Dividend	L	T					
119. JNL Mellon Capital Consumer Discretionary Sector	A	Dividend	L	T					

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int )	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. JNL Mellon Capital Healthcare Sector	A	Dividend	L	T					
121. JNL Mellon Capital Nasdaq 100	A	Dividend	L	T					
122. JNL S&P 4	A	Dividend	L	T					
123. JNL S&P Competitive Advantage	A	Dividend	L	T					
124. JNL T Rowe Price Established Growth	A	Dividend	L	T					
125. Promontory FDIC- David IRA		None	O	T					
126. Wabtec Inc	A	Dividend	J	T	Spinoff (from line 128)	02/25/19	J		
127. Wells Fargo Co	A	Dividend	J	T					
128. Westinghouse Air Brake Technologies Corp		None							
129. Exxon Mobil Corp	A	Dividend	K	T					
130. Fidelity Hereford Street Trust Daily MM #3		None	J	T					
131. General Electric Cap Corp	A	Dividend	K	T					
132. JNL Growth Allocation	A	Dividend	L	T					
133. JNL American Funds Balanced	A	Dividend	L	T					
134. JNL Invesco Small Cap Growth	A	Dividend	L	T					
135. JNL Morgan Midcap Growth	A	Dividend	L	T					
136. JNL Mellon Capital Consumer Discretionary Sector	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int )	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. JNL Mellon Capital Healthcare Sector	A	Dividend	L	T					
138. JNL Mellon Capital Nasdaq 100	A	Dividend	L	T					
139. JNL S&P 4	A	Dividend	L	T					
140. JNL S&P Competitive Advantage	A	Dividend	L	T					
141. JNL T Rowe Price Established Growth	A	Dividend	L	T					
142. Wells Fargo Co	A	Dividend	J	T					
143. AT&T Inc	A	Dividend	K	T					
144. CSX Corp	A	Dividend	M	T					
145. Exxon Mobil Corp	A	Dividend	K	T					
146. South State Bank	C	Interest	L	T					
147. North Carolina Rental Property 100% Transylvania County, NC	A	Rent	P1	W					
148. Lain Farm, Johnson County, Indiana	E	Rent	P1	W					
149. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
150. South Carolina State Retirement Plan	B	Dividend	M	T					
151. MassMutual Life Ins (MML Equity Fund Portfolio)	A	Dividend	J	T					
152. Russell 2000 Index - Kim IRA	A	Dividend	K	T					
153. S & P 500 Index - Kim IRA	B	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int )	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. MSCI EAFE - Kim IRA	A	Dividend	K	T					
155. Promontory FDIC MM - Kim IRA		None	O	T					
156. Russell 2000 Index - Kim Non Qualified Acct.	A	Dividend	K	T					
157. S & P 500 Index - Kim Non Qualified Acct.	B	Dividend	M	T					
158. MSCI EAFE - Kim Non Qualified Acct.	A	Dividend	K	T					
159.									
160.									
161.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 147 is located in Transylvania County, North Carolina, USA.

2. Lain Farm listed on line 148.

Lain Farm value cost R Annual Rental Income E (This property was purchased in 1981 and is located outside the city limits in Johnson County, Indiana, USA. Life Valuemark II

3. Article 5 Trust consist of lines: 44, 82, 90-113, 126, 128-131, and 142-145.

4. Article 6 Trust consist of lines: 30-43, 45-66, 68-79, 81, 83-84, 86-89, and 127 .

5. On 06/03/2019 there was split of Dow Inc into Corteva lines 8, 49, 95 and 111 and Dupont De Nemours Inc. lines 12, 52, 97, and 113.

6. Line 86, Travelers Companies Inc should have been listed as partial sale instead of sale thus leaving asset as part of the investments.

7. Preparer was unable to show Westinghouse Air Brake Technologies Corp lines 28, 89, and 128 as a merger on 02/25/2019 forming the American company Wabtec Inc. thus elimination Westinghouse Air Bake Technologies Corp for the 2020 FDR Report.



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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David C. Norton**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
 Administrative Office of the United States Courts  
 Suite 2-301  
 One Columbus Circle, N.E.  
 Washington, D.C. 20544

# **EXHIBIT 2**

**JUDGE NORTON FINANCIAL DISCLOSURES 2014-2019**

<b>2014</b>			
<b>LINE</b>	<b>STOCK</b>	<b>INCOME</b>	<b>VALUE</b>
54	GE #1	>1,000	15,001-50,000
55	GE #2	1,001-2,500	50,001-100,000
87	3M	<1,000	15,001-50,000
114	VERIZON #1	<1,000	<15,000
115	VERIZON #2	<1,000	<15,000
<b>2015</b>			
<b>LINE</b>	<b>STOCK</b>	<b>INCOME</b>	<b>VALUE</b>
54	GE #1	1,001-2,500	15,001-50,000
55	GE #2	<1,000	15,001-50,000
56	GE #3	1,001-2,500	50,001-100,000
88	3M	<1,000	15,001-50,000
115	VERIZON #1	<1,000	<15,000
116	VERIZON #2	<1,000	<15,000
<b>2016</b>			
<b>LINE</b>	<b>STOCK</b>	<b>INCOME</b>	<b>VALUE</b>
57	GE #1	1,001-2,500	15,001-50,000
58	GE #2	<1,000	15,001-50,000
59	GE #3	1,001-2,500	50,001-100,000
92	3M	<1,000	15,001-50,000
117	VERIZON #1	<1,000	<15,000
118	VERIZON #2	<1,000	<15,000
364	GE #4	BUY <15,000 7/20/16	<15,000
392	VERIZON	BUY <15,000 7/20/16	<15,000
<b>2017</b>			
<b>LINE</b>	<b>STOCK</b>	<b>INCOME</b>	<b>VALUE</b>
15	3M	<1,000	<15,000
79	GE #2	<1,000	<15,000
142	VERIZON #1	<1,000	<15,000
214	GE #4	<1,000	<15,000
257	VERIZON #2	<1,000	<15,000
410	VERIZON	<1,000	<15,000
<b>2018</b>			
<b>LINE</b>	<b>STOCK</b>	<b>INCOME</b>	<b>VALUE</b>
17	3M	<1,000	15,001-50,000
84	GE #2	SOLD <1,000 (GAIN) 12/29/18	<15,000
149	VERIZON #1	<1,000	<15,000
163	GE	<1,000	15,001-50,000
211	GE #4	SOLD <1,000 (GAIN) 12/29/18	<15,000
255	VERIZON #2	SOLD <1,000 (GAIN) 12/29/18	<15,000
317	GE CAP CORP	<1,000	15,001-50,000
408	VERIZON	SOLD <1,000 (GAIN) 12/29/18	<15,000
<b>2019</b>			
<b>LINE</b>	<b>STOCK</b>	<b>INCOME</b>	<b>VALUE</b>
17	GE #1	1,001-2,500	15,001-50,000
20	3M	SOLD 5,001-15,000 (GAIN) 11/29/19	<15,000
58	GE #2	<1,000	<15,000
87	VERIZON #1	<1,000	<15,000
100	GE	<1,000	<15,000